

Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Comcast Corporation - Class A (CMCSA) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio		10/13/2017	10/19/2017	\$15,001 - \$50,000
	SP	DowDuPont Inc. (DWDP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	10/18/2017	10/22/2017	\$15,001 - \$50,000
	SP	DowDuPont Inc. (DWDP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	10/19/2017	10/22/2017	\$1,001 - \$15,000

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 10/22/2017