



Filing ID #20008195

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP	Bristol-Myers Squibb Company (BMY)	S		09/25/2017	09/29/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Charter Communications, Inc. - Class A (CHTR)	P		09/26/2017	09/30/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Siemens AG American Depositary Shares (SIEGY)	P		10/4/2017	10/10/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	SLM CORP	S		09/25/2017	09/29/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: maturation date 9/27/17 at 4.625%				
SP	T-Mobile US, Inc. (TMUS)	S		09/25/2017	09/29/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	T-Mobile US, Inc. (TMUS)	S		09/26/2017	09/30/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	WEX Inc. common stock (WEX)	P		10/4/2017	10/10/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Zoetis Inc. Class A (ZTS)	S	10/5/2017	10/10/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Zoetis Inc. Class A (ZTS)	S	10/4/2017	10/10/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 10/10/2017