



Filing ID #20008172

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. K. Michael Conaway**Status:** Member**State/District:** TX11

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP	Bank Nova Scotia Halifax Pfd 3 Ordinary P Shares (BNS)			09/26/2017	09/28/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	Bank Nova Scotia Halifax Pfd 3 Ordinary P Shares (BNS)			09/25/2017	09/27/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	Bank Nova Scotia Halifax Pfd 3 Ordinary P Shares (BNS)			09/22/2017	09/26/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)					
SP	DOWDUPONT INC COM PAY DATE 09/01/2017	E		09/1/2017	09/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch) LOCATION: US DESCRIPTION: DOWDUPONT INC COM PAY DATE 09/01/2017					
SP	DU PONT E I DE NEMOURS PAY DATE 09/01/2017	E		09/1/2017	09/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch) LOCATION: US DESCRIPTION: DU PONT E I DE NEMOURS PAY DATE 09/01/2017					

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	Exxon Mobil Corporation (XOM) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	09/22/2017	09/26/2017	\$1,001 - \$15,000
SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	09/22/2017	09/26/2017	\$1,001 - \$15,000
SP	Occidental Petroleum Corporation (OXY) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	09/22/2017	09/26/2017	\$1,001 - \$15,000
SP	R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 Redemption	S	09/29/2017	09/29/2017	\$50,001 - \$100,000
SP	R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,488.786 DUE 09/30/22 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00% SV 1,488.786 DUE 09/30/22	P	09/28/2017	10/5/2017	\$50,001 - \$100,000
SP	Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	09/25/2017	09/27/2017	\$1,001 - \$15,000
SP	Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	09/22/2017	09/26/2017	\$1,001 - \$15,000
SP	SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,388.77 DUE 06/29/18	P	09/19/2017	09/21/2017	\$50,001 - \$100,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 ARN ISSUER BOFA CAP 10.00% SV 2,415.07 DUE 07/27/18	P	09/21/2017	09/25/2017	\$50,001 - \$100,000
SP		SX5E STEPUP ISSUER BAC STEP 21.70% SV 3,019.34 DUE 09/29/17 FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 21.70% SV 3,019.34 DUE 09/29/17 Redemption	S	09/29/2017	09/29/2017	\$15,001 - \$50,000
SP		SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BAC STEP 27.90% SV 3,044.33 DUE 11/26/18	P	09/19/2017	09/21/2017	\$50,001 - \$100,000
SP		SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20	P	09/28/2017	10/5/2017	\$50,001 - \$100,000
SP		SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20 FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER BNS STEP 30.00% SV 3,563.64 DUE 09/24/20	P	09/28/2017	10/6/2017	\$15,001 - \$50,000
SP		SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18 Redemption	S	09/29/2017	09/29/2017	\$50,001 - \$100,000
SP		U.S. Bancorp (USB) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	09/22/2017	09/26/2017	\$1,001 - \$15,000

ASSET CLASS DETAILS

- SP M40 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)
DESCRIPTION: Managed Account – Not self-directed
- Trust M69 (Merrill Lynch) (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 10/5/2017