



Filing ID #20007811

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal

**Status:** Member

**State/District:** CA47

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Activision Blizzard, Inc (ATVI)	P	08/7/2017	08/12/2017	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Bristol-Myers Squibb Company (BMY)	P	07/27/2017	08/3/2017	\$15,001 - \$50,000
		FILING STATUS: New				
		SUBHOLDING OF: Neuberger Berman - Traditional IRA				

## ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 08/13/2017