



Filing ID #20007336

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Katherine M. Clark**Status:** Member**State/District:** MA05

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP	Amgen Inc. (AMGN)		P	10/11/2016	04/26/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA				
SP	Amgen Inc. (AMGN)		P	10/11/2016	04/26/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA				
SP	Apple Inc. (AAPL)		P	10/11/2016	04/26/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA				
SP	Apple Inc. (AAPL)		P	10/11/2016	04/26/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA				
SP	BlackRock, Inc. (BLK)		P	10/11/2016	04/26/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA				
SP	BlackRock, Inc. (BLK)		P	10/11/2016	04/26/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA				
SP	BlackRock, Inc. (BLK)		S	04/4/2017	05/22/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA				

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SP		BlackRock, Inc. (BLK) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		China Mobile Limited (CHL) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		China Mobile Limited (CHL) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		Clorox Company (CLX) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Clorox Company (CLX) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Coca-Cola European Partners plc Ordinary Shares (CCE) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		Coca-Cola European Partners plc Ordinary Shares (CCE) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		D/B/A Chubb Limited New (CB) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000

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SP	D/B/A Chubb Limited New (CB)	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	Discover Financial Services (DFS)	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	01/5/2017	05/22/2017	\$1,001 - \$15,000
SP	Discover Financial Services (DFS)	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	Discover Financial Services (DFS)	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	Dominion Energy, Inc. (D)	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	Dominion Energy, Inc. (D)	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	Dominion Energy, Inc. (D)	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	01/5/2017	05/22/2017	\$1,001 - \$15,000
SP	General Electric Company (GE)	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	01/5/2017	05/22/2017	\$1,001 - \$15,000
SP	General Electric Company (GE)	FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	General Electric Company (GE)	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP	GlaxoSmithKline PLC (GSK)	FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000

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	SP	GlaxoSmithKline PLC (GSK) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Home Depot, Inc. (HD) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Home Depot, Inc. (HD) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Honeywell International Inc. (HON) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	01/5/2017	05/22/2017	\$1,001 - \$15,000
	SP	Honeywell International Inc. (HON) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	01/5/2017	05/22/2017	\$1,001 - \$15,000
	SP	JP Morgan Chase & Co. (JPM) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	JP Morgan Chase & Co. (JPM) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	04/4/2017	05/22/2017	\$1,001 - \$15,000
	SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	04/4/2017	05/22/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Microsoft Corporation (MSFT) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	04/4/2017	05/22/2017	\$1,001 - \$15,000
	SP	Microsoft Corporation (MSFT) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	04/4/2017	05/22/2017	\$1,001 - \$15,000
	SP	Microsoft Corporation (MSFT) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Microsoft Corporation (MSFT) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Nike, Inc. (NKE) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Nike, Inc. (NKE) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Novo Nordisk A/S (NVO) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Novo Nordisk A/S (NVO) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Novo Nordisk A/S (NVO) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	S	01/5/2017	05/22/2017	\$1,001 - \$15,000
	SP	Novo Nordisk A/S (NVO) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	S	01/5/2017	05/22/2017	\$1,001 - \$15,000
	SP	Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		Pioneer Natural Resources Company (PXD) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		Pioneer Natural Resources Company (PXD) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
SP		Ritchie Bros. Auctioneers Incorporated (RBA) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Ritchie Bros. Auctioneers Incorporated (RBA) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Schlumberger N.V. (SLB) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	01/5/2017	05/22/2017	\$1,001 - \$15,000
SP		Schlumberger N.V. (SLB) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	01/5/2017	05/22/2017	\$1,001 - \$15,000
SP		Sealed Air Corporation (SEE) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Sealed Air Corporation (SEE) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	04/4/2017	05/22/2017	\$1,001 - \$15,000
SP		Starbucks Corporation (SBUX) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Starbucks Corporation (SBUX) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	UnitedHealth Group Incorporated Common Stock (UNH) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	UnitedHealth Group Incorporated Common Stock (UNH) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Visa Inc. (V) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Visa Inc. (V) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Waste Management, Inc. (WM) FILING STATUS: New SUBHOLDING OF: Ameriprise Traditional IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000
	SP	Waste Management, Inc. (WM) FILING STATUS: New SUBHOLDING OF: Ameriprise SEP IRA	P	10/11/2016	04/26/2017	\$1,001 - \$15,000

## ASSET CLASS DETAILS

- Ameriprise SEP IRA (Owner: SP)
- Ameriprise Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine M. Clark , 05/25/2017