



Filing ID #20007226

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Adobe Systems Incorporated (ADBE) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	05/2/2017	05/8/2017	\$1,001 - \$15,000
SP		Alphabet Inc. - Class C Capital Stock (GOOG) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	05/2/2017	05/8/2017	\$1,001 - \$15,000
SP		Autodesk, Inc. (ADSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	05/3/2017	05/8/2017	\$1,001 - \$15,000
SP		Autodesk, Inc. (ADSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	05/3/2017	05/8/2017	\$1,001 - \$15,000
SP		Mastercard Incorporated (MA) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	05/2/2017	05/8/2017	\$1,001 - \$15,000
SP		Wells Fargo Co MTN FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individual DESCRIPTION: Maturity date 1/30/2020 2.15% interest	P	04/18/2017	04/25/2017	\$1,001 - \$15,000

ASSET CLASS DETAILS

- Neuberger Berman - Individual (Owner: SP)
LOCATION: US
- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/8/2017