



Filing ID #20007185

# PERIODIC TRANSACTION REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. K. Michael Conaway**Status:** Member**State/District:** TX11

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP	Abbott Laboratories (ABT)		S	04/19/2017	04/24/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)				
SP	GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL		S	04/20/2017	04/25/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: SP M36 (Merrill Lynch) DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL				
SP	GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL		S	04/20/2017	04/25/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) DESCRIPTION: GOLDMAN SACHS CAPITAL II - VAR% PERPETUAL				
SP	International Business Machines Corporation (IBM)		P	04/19/2017	04/24/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)				
SP	International Business Machines Corporation (IBM)		P	04/19/2017	04/24/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)				
SP	MSCI EM ARN ISSUER SEK CAP 17.65% SV 857.45 DUE 01/26/18		P	04/20/2017	04/25/2017	\$50,001 - \$100,000
		FILING STATUS: New				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: MSCI EM ARN ISSUER SEK CAP 17.65% SV 857.45 DUE 01/26/18				
SP		<b>R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17</b>  FILING STATUS: New SUBHOLDING OF: SP M36 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17	P	04/20/2017	04/25/2017	\$50,001 - \$100,000
SP		<b>SP500 ARN ISSUER BAC CAP 15.42% SV 1,951.70 DUE 04/28/17</b>  FILING STATUS: New SUBHOLDING OF: SP M37 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 ARN ISSUER BAC CAP 15.42% SV 1,951.70 DUE 04/28/17 Redemption	S	04/28/2017	04/28/2017	\$15,001 - \$50,000
SP		<b>SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18</b>  FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E ARN ISSUER BOFA CAP 19.80% SV 3,333.96 DUE 04/27/18	P	04/3/2017	04/6/2017	\$50,001 - \$100,000
SP		<b>SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18</b>  FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SX5E STEPUP ISSUER HSBC STEP 30.00% SV 3,221.14 DUE 09/28/18	P	04/25/2017	04/28/2017	\$50,001 - \$100,000
SP		<b>UIT FIRST TRUST GLOBAL DIVID PORT SER 42 MONTHLY REINVEST</b>  FILING STATUS: New SUBHOLDING OF: SP E62 (Edward Jones) LOCATION: US DESCRIPTION: UIT FIRST TRUST GLOBAL DIVID PORT SER 42 MONTHLY REINVEST Redemption	S	04/17/2017	04/19/2017	\$100,001 - \$250,000
SP		<b>WELLS FARGO &amp; COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE</b>  FILING STATUS: New SUBHOLDING OF: SP M36 (Merrill Lynch) DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE	S	04/20/2017	04/25/2017	\$15,001 - \$50,000
SP		<b>WELLS FARGO &amp; COMPANY JR</b>	S	04/20/2017	04/25/2017	\$15,001 - \$50,000

ID	Owner	Asset	Transaction Date Type	Notification Amount Date
		SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE  FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER K GLB VAR% PERPETUAL CALLABLE		

ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ SP E62 (Edward Jones) (Owner: SP) LOCATION: US</li><li>◦ SP M36 (Merrill Lynch) (Owner: SP) LOCATION: US</li><li>◦ SP M37 (Merrill Lynch) (Owner: SP) LOCATION: US</li><li>◦ SP M40 (Merrill Lynch) (Owner: SP) LOCATION: US</li><li>◦ SP M81 (Merrill Lynch) (Owner: SP) DESCRIPTION: Managed Account – Not self-directed</li><li>◦ Trust M69 (Merrill Lynch) (Owner: SP)</li></ul>
--

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. K. Michael Conaway , 05/3/2017