

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member **State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction	Date	Notification	Amount
10	Owner	113500	Туре	Dute	Date	7 mount
	SP	American Tower Corporation (AMT) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S onal IRA	04/25/2017	05/2/2017	\$1,001 - \$15,000
	SP	JP Morgan Chase - Individual FILING STATUS: New LOCATION: US DESCRIPTION: This was transferred/exchanged	E to Dreyfus Tax Ex	06/10/2016 exempt Cash Mg		\$1,001 - \$15,000
	SP	Neuberger Berman - Individual Filing Status: New Location: US Description: The Neuberger Berman Equity I	E Fund was transferr	08/2/2016 red/exchanged		\$1,001 - \$15,000 ry Sec Cash Mgmt
	SP	T-Mobile US, Inc. (TMUS) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S onal IRA	04/25/2017	05/2/2017	\$1,001 - \$15,000
	SP	Vornado Realty FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Individed Description: Maturity date 6/30/19, 2.5%	P nal	04/21/2017	04/28/2017	\$1,001 - \$15,000
	SP	Zayo Group Holdings, Inc. (ZAYO) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	P onal IRA	04/25/2017	05/2/2017	\$1,001 - \$15,000
	SP	Zayo Group Holdings, Inc. (ZAYO)	P	04/26/2017	05/3/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount		
		FILING STATUS: New						
		Subholding Of: Neuberger Berman - Traditio	HOLDING OF: Neuberger Berman - Traditional IRA					
	SP	Zayo Group Holdings, Inc. (ZAYO)	P	04/27/2017	05/4/2017	\$1,001 - \$15,000		
		FILING STATUS: New						
		Subholding Of: Neuberger Berman - Traditional IRA						

ASSET CLASS DETAILS

• Neuberger Berman - Individual (Owner: SP) Location: US

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

○ Yes ○ No

CERTIFICATION AND SIGNATURE

 $\overline{\mathbb{Z}}$ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 05/6/2017