



Filing ID #20007113

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Kansas City Southern (KSU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/4/2017	04/11/2017	\$1,001 - \$15,000
	SP	Kansas City Southern (KSU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	04/5/2017	04/12/2017	\$1,001 - \$15,000
	SP	Zimmer Holdings FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: This bond matured on 4/1/17, interest rate was 1.45%	S	04/1/2017	04/10/2017	\$1,001 - \$15,000

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 04/24/2017