



Filing ID #20006989

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. K. Michael Conaway**Status:** Member**State/District:** TX11

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	American Express Company (AXP) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	03/24/2017	03/29/2017	\$1,001 - \$15,000
SP	American Express Company (AXP) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	03/24/2017	03/29/2017	\$1,001 - \$15,000
SP	DJIA LIRN ISSUER RBC PART 111.30% SV 16,846.1 DUE 06/28/19 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: DJIA LIRN ISSUER RBC PART 111.30% SV 16,846.1 DUE 06/28/19	S	03/15/2017	03/20/2017	\$15,001 - \$50,000
SP	INDU BUF CAP PART NTS CAP 66.45% SV 17,900.10 DUE 12/27/19 BUF 30.00% FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: INDU BUF CAP PART NTS CAP 66.45% SV 17,900.10 DUE 12/27/19 BUF 30.00%	S	03/15/2017	03/20/2017	\$50,001 - \$100,000
SP	Philip Morris International Inc (PM) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	03/24/2017	03/29/2017	\$1,001 - \$15,000
SP	Philip Morris International Inc (PM) FILING STATUS: New	S	03/24/2017	03/29/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SUBHOLDING OF: SP M81 (Merrill Lynch)				
SP		R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 13.83% SV 1,237.751 DUE 11/22/17	P	03/15/2017	03/20/2017	\$50,001 - \$100,000
SP		R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 ARN ISSUER SEK CAP 14.28% SV 1,217.325 DUE 09/29/17	P	03/15/2017	03/20/2017	\$50,001 - \$100,000
SP		The Kraft Heinz Company (KHC) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	03/24/2017	03/29/2017	\$1,001 - \$15,000
SP		The Kraft Heinz Company (KHC) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	03/24/2017	03/29/2017	\$1,001 - \$15,000
SP		Thermo Fisher Scientific Inc (TMO) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	03/24/2017	03/29/2017	\$15,001 - \$50,000
SP		UIT FIRST TRUST SER 24 INTL CAP STRENGTH PORT FILING STATUS: New SUBHOLDING OF: SP E62 (Edward Jones) LOCATION: US DESCRIPTION: UIT FIRST TRUST SER 24 INTL CAP STRENGTH PORT Redemption	S	03/7/2017	03/9/2017	\$15,001 - \$50,000
SP		UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54 MONTHLY FILING STATUS: New SUBHOLDING OF: SP E62 (Edward Jones) LOCATION: US DESCRIPTION: UIT FRIST TRUST EQUITY INCOME PORTFOLIO SER 54 MONTHLY	P	03/21/2017	03/23/2017	\$15,001 - \$50,000
SP		UnitedHealth Group Incorporated Common Stock (UNH) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	03/24/2017	03/29/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	UnitedHealth Group Incorporated Common Stock (UNH)	P	03/24/2017	03/29/2017	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: SP M81 (Merrill Lynch)				

ASSET CLASS DETAILS

- o SP E62 (Edward Jones) (Owner: SP)  
LOCATION: US
- o SP M40 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- o SP M81 (Merrill Lynch) (Owner: SP)  
DESCRIPTION: Managed Account – Not self-directed

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. K. Michael Conaway , 04/2/2017