



# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Thomas MacArthur

**Status:** Member

**State/District:** NJ03

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT	ACS Actividades De Construccion Y Servicios SA	Un-sponsored ADR (ACSAY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur					
JT	Adecco SA	Un-sponsored ADR (AHEXY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur					
JT	Adecco SA	Un-sponsored ADR (AHEXY)	S	01/6/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur					
JT	ADT CORP	NTS B/E 06.250% 101521	P	01/26/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond					
JT	AEGON N.V. (AEG)		S	01/9/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur					
JT	AEGON N.V. (AEG)		S	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur					
JT	Airbus Group	Un-sponsored ADR (EADSY)	S	01/9/2017	02/1/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Airbus Group Unsponsored ADR (EADSY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Ambey S.A. American Depositary Shares (ABEV)	P	01/10/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		ARAMARK SVCS INC NTS B/E 05.125% 011524	P	01/5/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		Astellas Pharma Inc Unsponsored ADR (ALPMY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Astellas Pharma Inc Unsponsored ADR (ALPMY)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Banco Bilbao Vizcaya Argentaria S.A. (BBVA)	S	01/10/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		BHP Billiton plc Sponsored ADR (BBL)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC		BLACKROCK HIGH YIELD BOND I	S	01/13/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur LOCATION: US DESCRIPTION: Mutual fund				
DC		BlackRock, Inc. (BLK)	P	01/12/2017	02/1/2017	\$1,001 - \$15,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	BlackRock, Inc. (BLK)	P	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	Carlsberg AS Sponsored ADR Representing Class B Shares (CABGY)	P	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Carrefour S A (CRRFY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Carrefour S A (CRRFY)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	CBRE SERVICES INC B/E 05.000% 031523	S	01/17/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	CBRE SERVICES INC B/E 05.000% 031523	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	CBRE SERVICES INC B/E 05.000% 031523	S	01/13/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	CBRE SERVICES INC B/E 05.000% 031523	S	01/3/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Chevron Corporation (CVX)	P	01/12/2017	02/1/2017	\$100,001 - \$250,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Chevron Corporation (CVX)	P	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	Chevron Corporation (CVX)	P	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	China Mobile Limited (CHL)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	China Mobile Limited (CHL)	P	01/9/2017	02/1/2017	\$50,001 - \$100,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	CK HUTCHISON HLDGS LTD UNSPONSORED ADR	P	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur LOCATION: US DESCRIPTION: Stock not in database - Symbol: CKHUY				
DC	CVS Health Corporation (CVS)	P	01/11/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
DC	CVS Health Corporation (CVS)	P	01/11/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
JT	CVS Health Corporation (CVS)	P	01/11/2017	02/1/2017	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	D R HORTON INC 04.000% 021520	S	01/26/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	D/B/A Chubb Limited New (CB)	S	01/12/2017	02/1/2017	\$100,001 - \$250,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	D/B/A Chubb Limited New (CB)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	D/B/A Chubb Limited New (CB)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	Dbs Group Holdings Ltd Ord American Depository Shares (DBSDY)	S	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Deutsche Post AG Sponsored ADR (DPSGY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Deutsche Post AG Sponsored ADR (DPSGY)	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	DNB ASA SPONSORED ADR Representing 10 (DNHBY)	S	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	DOUBLE LINE TOTAL RETURN FUND INSTL	S	01/13/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur LOCATION: US DESCRIPTION: Mutual fund				
JT	E.ON SE Sponsored ADR (EONGY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	E.ON SE Sponsored ADR (EONGY)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	ENGIE SPON ADR FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur LOCATION: US DESCRIPTION: Stock not in database - sybmol: ENGIY	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	ENSCO PLC NTS B/E 05.750% 100144 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	P	01/19/2017	02/1/2017	\$1,001 - \$15,000
JT	ENSCO PLC NTS B/E 05.750% 100144 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	ENSCO PLC NTS B/E 05.750% 100144 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	01/6/2017	02/1/2017	\$1,001 - \$15,000
JT	ENSCO PLC NTS B/E 05.750% 100144 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT	Essilor International SA ADR (ESLOY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/31/2017	02/1/2017	\$15,001 - \$50,000
DC	Exxon Mobil Corporation (XOM) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	01/27/2017	02/1/2017	\$1,001 - \$15,000
JT	Exxon Mobil Corporation (XOM) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/27/2017	02/1/2017	\$100,001 - \$250,000
DC	Exxon Mobil Corporation (XOM) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	01/27/2017	02/1/2017	\$1,001 - \$15,000
JT	Freeport-McMoRan, Inc. (FCX)	S	01/9/2017	02/1/2017	\$50,001 - \$100,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Fresenius Medical Care AG (FMS)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Fresenius Medical Care AG (FMS)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Gemalto NV Sponsored ADR (GTOMY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Gemalto NV Sponsored ADR (GTOMY)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	HSBC Holdings, plc. (HSBC)	S	01/10/2017	02/1/2017	\$50,001 - \$100,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	ImmunoGen, Inc. (IMGN)	P	01/9/2017	02/1/2017	\$1,001 - \$15,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Intel Corporation (INTC)	S	01/12/2017	02/1/2017	\$15,001 - \$50,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY)	P	01/9/2017	02/1/2017	\$50,001 - \$100,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY)	S	01/10/2017	02/1/2017	\$50,001 - \$100,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Invesco Ltd (IVZ)	S	01/12/2017	02/1/2017	\$100,001 - \$250,000	
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

<b>ID</b>	<b>Owner</b>	<b>Asset</b>	<b>Transaction Type</b>	<b>Date</b>	<b>Notification Date</b>	<b>Amount</b>
JT		iShares Core MSCI Emerging Markets ETF (IEMG) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		iShares Core MSCI Emerging Markets ETF (IEMG) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$1,001 - \$15,000
DC		iShares Core MSCI Emerging Markets ETF (IEMG) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	P	01/9/2017	02/1/2017	\$1,001 - \$15,000
DC		iShares Core MSCI Emerging Markets ETF (IEMG) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	P	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		iShares MSCI EAFE ETF (EFA) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$250,001 - \$500,000
JT		iShares Russell 1000 Growth ETF (IWF) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		iShares Russell 1000 Growth ETF (IWF) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
DC		iShares Russell 1000 Growth ETF (IWF) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		iShares Russell 1000 Value ETF (IWD) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		iShares Russell 1000 Value ETF (IWD) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000



<b>ID</b>	<b>Owner Asset</b>	<b>Transaction Type</b>	<b>Date</b>	<b>Notification Date</b>	<b>Amount</b>
DC	iShares US Preferred Stock ETF (PFF) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	01/13/2017	02/1/2017	\$15,001 - \$50,000
DC	iShares US Preferred Stock ETF (PFF) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	01/13/2017	02/1/2017	\$15,001 - \$50,000
DC	Johnson Controls International plc Ordinary Share (JCI) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	P	01/26/2017	02/1/2017	\$1,001 - \$15,000
JT	Johnson Controls International plc Ordinary Share (JCI) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/26/2017	02/1/2017	\$100,001 - \$250,000
DC	Johnson Controls International plc Ordinary Share (JCI) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	P	01/26/2017	02/1/2017	\$1,001 - \$15,000
JT	Julius Baer Group Ltd Unsponsored ADR (JBAXY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/10/2017	02/1/2017	\$15,001 - \$50,000
JT	Komatsu Ltd Ord American Depositary Shares (KMTUY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Koninklijke Philips N.V. NY Registry Shares (PHG) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Koninklijke Philips N.V. NY Registry Shares (PHG) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/30/2017	02/1/2017	\$15,001 - \$50,000
JT	L3 Technologies, Inc. (LLL) FILING STATUS: New	P	01/9/2017	02/1/2017	\$100,001 - \$250,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Marsh & McLennan Companies, Inc. (MMC)	S	01/17/2017	02/1/2017	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Marsh & McLennan Companies, Inc. (MMC)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	Marsh & McLennan Companies, Inc. (MMC)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	Mazda Motor Corp Unsponsored ADR (MZDAY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Medtronic plc. Ordinary Shares (MDT)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Microsoft Corporation (MSFT)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Mitsubishi Estate Co Ltd American Depositary Shares (MITEY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Murata Manufacturing Co Ltd Unsponsored ADR (MRAAY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Murata Manufacturing Co Ltd Unsponsored ADR (MRAAY)	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	NEW JERSEY TRANS TRUST A-2 RV	P	01/10/2017	02/1/2017	\$100,001 - \$250,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		BE/R/ 5 DUE 061529 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Municipal bond				
JT	Nordstrom, Inc. (JWN)		P	01/12/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	NRG YIELD OPERATING LLC 05.375% 081524		P	01/26/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	NRG YIELD OPERATING LLC 05.375% 081524		P	01/20/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	NRG YIELD OPERATING LLC 05.375% 081524		P	01/24/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Nuance Communications, Inc. (NUAN)		P	01/9/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Nucor Corporation (NUE)		S	01/9/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Orange (ORAN)		P	01/10/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Otsuka Holdings Co. Ltd, Tokyo Unsponsored ADR (OTSKY)		P	01/10/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Panasonic Corporation (PCRFY)		P	01/10/2017	02/1/2017	\$1,001 - \$15,000

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		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC		RIDGEWORTH SEIX FLOATING RATE HIGH INCOME FUND	P	01/13/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur LOCATION: US DESCRIPTION: Mutual fund				
DC		RIDGEWORTH SEIX FLOATING RATE HIGH INCOME FUND	P	01/13/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur LOCATION: US DESCRIPTION: Mutual fund				
JT		Roche Holdings AG Basel American Depository Shares (RHHBY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Roche Holdings AG Basel American Depository Shares (RHHBY)	P	01/9/2017	02/1/2017	\$50,001 - \$100,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Rockwell Automation, Inc. (ROK)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC		Rockwell Automation, Inc. (ROK)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC		Rockwell Automation, Inc. (ROK)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT		ROWAN COMPANIES INC B/E 4.875% 060122	P	01/25/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	ROWAN COMPANIES INC B/E 4.875% 060122  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	P	01/18/2017	02/1/2017	\$1,001 - \$15,000
JT	ROWAN COMPANIES INC B/E 4.875% 060122  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	P	01/12/2017	02/1/2017	\$1,001 - \$15,000
JT	Royal DSM NV Sponsored ADR (RDSMY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT	Royal DSM NV Sponsored ADR (RDSMY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Schlumberger N.V. (SLB)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Secom Co Ltd American Depository Shares (SOMLY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Secom Co Ltd American Depository Shares (SOMLY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
JT	Seiko Epson Corp Suwa Un-sponsored ADR (SEKEY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/6/2017	02/1/2017	\$1,001 - \$15,000

<b>ID</b>	<b>Owner Asset</b>	<b>Transaction Type</b>	<b>Date</b>	<b>Notification Date</b>	<b>Amount</b>
JT	Seiko Epson Corp Suwa Un-sponsored ADR (SEKEY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Smith & Nephew SNATS, Inc. (SNN) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
JT	Smith & Nephew SNATS, Inc. (SNN) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
JT	Societe Generale France Sponsored ADR (SCGLY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/10/2017	02/1/2017	\$15,001 - \$50,000
DC	SPDR Select Sector Fund - Consumer Staples (XLP) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	01/11/2017	02/1/2017	\$1,001 - \$15,000
DC	SPDR Select Sector Fund - Consumer Staples (XLP) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	01/11/2017	02/1/2017	\$1,001 - \$15,000
JT	SPDR Select Sector Fund - Consumer Staples (XLP) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/11/2017	02/1/2017	\$100,001 - \$250,000
JT	SPDR Select Sector Fund - Financial (XLF) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/17/2017	02/1/2017	\$100,001 - \$250,000
JT	SPDR Select Sector Fund - Financial (XLF) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/12/2017	02/1/2017	\$250,001 - \$500,000
JT	SPDR Select Sector Fund - Industrial (XLI)	S	01/26/2017	02/1/2017	\$100,001 - \$250,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	SPDR Select Sector Fund - Industrial (XLI)	S	01/26/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	SPDR Select Sector Fund - Industrial (XLI)	S	01/26/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	SPRINT CORP NTS B/E 07.250% 091521	P	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond				
JT	Suncor Energy Inc. (SU)	P	01/27/2017	02/1/2017	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Suncor Energy Inc. (SU)	P	01/27/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	Suncor Energy Inc. (SU)	P	01/27/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	Suntory Beverage & Food Ltd American Depository Shares (STBFY)	P	01/10/2017	02/1/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Texas Instruments Incorporated (TXN)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Total S.A. (TOT)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Union Pacific Corporation (UNP)	S	01/12/2017	02/1/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
JT	Union Pacific Corporation (UNP)		S	01/12/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Union Pacific Corporation (UNP)		S	01/12/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
DC	Vanguard FTSE Developed Markets ETF (VEA)		P	01/9/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	Vanguard FTSE Developed Markets ETF (VEA)		P	01/9/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vanguard FTSE Developed Markets ETF (VEA)		P	01/9/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Vanguard FTSE Developed Markets ETF (VEA)		P	01/9/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
JT	Vanguard Mid-Cap Growth ETF - DNQ (VOT)		P	01/9/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vanguard Mid-Cap Value ETF - DNQ (VOE)		S	01/9/2017	02/1/2017	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vanguard Mid-Cap Value ETF - DNQ (VOE)		S	01/9/2017	02/1/2017	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				



ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
DC		Vanguard Mid-Cap Value ETF - DNQ (VOE) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
DC		Vanguard Small-Cap ETF - DNQ (VB) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		Vanguard Small-Cap ETF - DNQ (VB) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
DC		Vanguard Small-Cap ETF - DNQ (VB) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	01/9/2017	02/1/2017	\$1,001 - \$15,000
JT		Vanguard Small-Cap ETF - DNQ (VB) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	01/9/2017	02/1/2017	\$15,001 - \$50,000
JT		VEREIT INCORP NTS B/E 04.875% 060126 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	S	01/11/2017	02/1/2017	\$1,001 - \$15,000
JT		VEREIT INCORP NTS B/E 04.875% 060126 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	S	01/12/2017	02/1/2017	\$1,001 - \$15,000
JT		VEREIT INCORP NTS B/E 04.875% 060126 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corp bond	S	01/13/2017	02/1/2017	\$1,001 - \$15,000
JT		Vivendi SA Un-sponsored ADR (VIVHY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$15,001 - \$50,000
JT		Vivendi SA Un-sponsored ADR (VIVHY)	P	01/10/2017	02/1/2017	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Weatherford International plc (WFT) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	01/9/2017	02/1/2017	\$100,001 - \$250,000

### ASSET CLASS DETAILS

- o MacArthur Family 2008 Irr Tr FBO David MacArthur (Owner: DC)
- o MacArthur Family 2008 Irr Tr FBO Isabella MacArthur (Owner: DC)
- o Thomas C MacArthur and Deborah A MacArthur (Owner: JT)  
LOCATION: US

### INITIAL PUBLIC OFFERINGS

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas MacArthur , 02/8/2017