



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. K. Michael Conaway

Status: Member

State/District: TX11

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT		INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY FILING STATUS: New SUBHOLDING OF: JT M39 (Merrill Lynch) LOCATION: US DESCRIPTION: INNATE IMMUNOTHERAPEUTIC AUD PAR ORDINARY	P	01/26/2017	01/31/2017	\$1,001 - \$15,000
SP	JP Morgan Chase & Co. (JPM)		S	01/18/2017	01/23/2017	\$1,001 - \$15,000 FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)
SP	Philip Morris International Inc (PM)		P	01/18/2017	01/23/2017	\$1,001 - \$15,000 FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)
SP	R2000 STEPUP ISSUER BARC STEP 35.00%		P	01/26/2017	02/2/2017	\$15,001 - \$50,000 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER BARC STEP 35.00%
SP	Simon Property Group, Inc. (SPG)		P	01/18/2017	01/23/2017	\$1,001 - \$15,000 FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)
SP	Simon Property Group, Inc. (SPG)		P	01/18/2017	01/23/2017	\$1,001 - \$15,000 FILING STATUS: New

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	SUBHOLDING OF: SP M81 (Merrill Lynch)				
SP	SP500 CLIRN ISSUER CS CAP 19.85%	S	01/17/2017	01/20/2017	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85%				
SP	SP500 CLIRN ISSUER CS CAP 19.85%	S	01/17/2017	01/20/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 19.85%				
SP	SP500 STEPUP ISSUER BNS STEP 16.30%	S	01/17/2017	01/20/2017	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER BNS STEP 16.30%				
SP	SP500 STEPUP ISSUER HSBC STEP 35.00%	P	01/26/2017	02/2/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Trust W66 (Wells Fargo) LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00%				
SP	SP500 STEPUP ISSUER HSBC STEP 35.00%	P	01/26/2017	02/2/2017	\$50,001 - \$100,000
	FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 STEPUP ISSUER HSBC STEP 35.00%				
SP	SPX/SX5E STEPUP ISS HSBC STEP 22.80%	P	01/19/2017	01/24/2017	\$50,001 - \$100,000
	FILING STATUS: New SUBHOLDING OF: SP M37 (Merrill Lynch) LOCATION: US DESCRIPTION: SPX/SX5E STEPUP ISS HSBC STEP 22.80%				
SP	US BANCORP JR SUBORDNTD	S	01/19/2017	01/24/2017	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: SP M37 (Merrill Lynch) DESCRIPTION: US BANCORP JR SUBORDNTD				

ID	Owner Asset	Transaction Date Type	Transaction Date	Notification Date	Amount
SP	WELLS FARGO & COMPANY JR SUBORDNTD SER FILING STATUS: New SUBHOLDING OF: SP M37 (Merrill Lynch) DESCRIPTION: WELLS FARGO & COMPANY JR SUBORDNTD SER	S	01/19/2017	01/24/2017	\$15,001 - \$50,000

ASSET CLASS DETAILS

- JT M39 (Merrill Lynch) (Owner: JT)
LOCATION: US
- SP M37 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M40 (Merrill Lynch) (Owner: SP)
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)
DESCRIPTION: Managed Account – Not self-directed
- Trust M69 (Merrill Lynch) (Owner: SP)
- Trust W66 (Wells Fargo) (Owner: SP)

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 02/6/2017