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#### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

**Status:** Membe **State/District:** CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Frontier Communications  FILING STATUS: New  SUBHOLDING OF: Neuberger Berman - Traditio  DESCRIPTION: 8.25% interest 4/15/17 maturati		12/9/2016	12/11/2016	\$1,001 - \$15,000
	SP	General Dynamics Corporation (GD)  FILING STATUS: New  SUBHOLDING OF: Neuberger Berman - Tradition	P onal IRA	11/22/2016	11/29/2016	\$15,001 - \$50,000

# ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

# INITIAL PUBLIC OFFERINGS

C Yes No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 12/11/2016