



PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. David A. Trott

Status: Member

State/District: MI11

TRANSACTIONS

ID	Owner Asset	Transaction Date Type	Notification Date	Amount
	BNP PARIBAS LINKED TO BASKET OF P INDICES UPSIDE LEVERED CAPPED W BUFFER 0% STRUCTURED NOTE DUE 09/24/2018	11/16/2016 P	11/17/2016	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Trott Family Investment Company LLC DESCRIPTION: Structured Note			
	GRAND LEDGE MICH PUB SCHS DIST P GO 5% 05/01/23 - MUNI BOND	11/7/2016 P	11/8/2016	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Irrevocable Trusts > David A. Trott 2010 Irrevocable Grantor Trust f/b/o Courtney E. Trott (100% interest)			
	NEW YORK NY GO BDS 05.000% DUE P 08/01/23 - MUNI BOND	11/17/2016 P	11/18/2016	\$50,001 - \$100,000
	FILING STATUS: New SUBHOLDING OF: Revocable Living Trusts > The David A. Trott Revocable Trust UTA DTD 6/11/93 (100% interest) > U.S. Trust Brokerage (CASH) Account			
	PORTAGE MICH PUB SCHS GO 5% 05/01/23 MN - MUNI BOND	11/28/2016 P	11/29/2016	\$250,001 - \$500,000
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC			
	PORTLAND ORE SWR SYS REV REV 5% 06/15/23 JD RFDG - MUNI BOND	11/18/2016 P	11/19/2016	\$250,001 - \$500,000
	FILING STATUS: New SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND			

ID	Owner Asset	Transaction Date Type	Notification Date	Amount
THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC				
PUT/XSP @ 196 EXP 01/20/2017 - CBOE MINI SPX INDEX	S	11/4/2016	11/5/2016	\$1,001 - \$15,000
<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC</p> <p>LOCATION: US</p> <p>DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>				
PUT/XSP @ 206 EXP 01/20/2017 - CBOE MINI SPX INDEX	S	11/11/2016	11/12/2016	\$1,001 - \$15,000
<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC</p> <p>LOCATION: US</p> <p>DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>				
PUT/XSP @ 210 EXP 02/17/2017 - CBOE MINI SPX INDEX	S	11/22/2016	11/23/2016	\$1,001 - \$15,000
<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC</p> <p>LOCATION: US</p> <p>DESCRIPTION: Not part of a compensation plan; Not held in an ESOP.</p>				
TEXAS ST UNIV SYS FING REV REV 5% 03/15/23 - MUNI BOND	P	11/4/2016	11/5/2016	\$500,001 - \$1,000,000
<p>FILING STATUS: New</p> <p>SUBHOLDING OF: Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. > Toucan Family LLC</p>				

ASSET CLASS DETAILS

- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.
LOCATION: US
- Appendix A - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Toucan Family LLC (87% Interest)
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL.
LOCATION: US
- Appendix B - NOTE: THESE ASSETS ARE ALREADY INCLUDED IN THE SCHEDULE A VALUES AND THEREFORE SHOULD NOT BE ADDED TO THE OVERALL TOTAL. ⇒ Trott Family Investment Company LLC (40% Interest)
LOCATION: US

- Irrevocable Trusts
- Irrevocable Trusts ⇒ David A. Trott 2010 Irrevocable Grantor Trust f/b/o Courtney E. Trott (100% interest)
- Revocable Living Trusts
- Revocable Living Trusts ⇒ The David A. Trott Revocable Trust UTA DTD 6/11/93 (100% interest)
- Revocable Living Trusts ⇒ The David A. Trott Revocable Trust UTA DTD 6/11/93 (100% interest) ⇒ U.S. Trust Brokerage (CASH) Account
LOCATION: US

INITIAL PUBLIC OFFERINGS

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David A. Trott , 12/2/2016