



Filing ID #20006242

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Debbie Dingell**Status:** Member**State/District:** MI12

## TRANSACTIONS

| ID | Owner | Asset  | Transaction Type | Date       | Notification Date | Amount              |
|----|-------|--|------------------|------------|-------------------|---------------------|
| SP |       | Alphabet Inc. - Class C Capital Stock (GOOG)   | P                | 11/14/2016 | 11/21/2016        | \$15,001 - \$50,000 |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Morgan Stanley Smith Barney Brokerage Account     |                  |            |                   |                     |
| SP |       | American Express Company (AXP)   | S                | 11/14/2016 | 11/21/2016        | \$1,001 - \$15,000  |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Morgan Stanley Smith Barney Brokerage Account     |                  |            |                   |                     |
| JT |       | American Express Company (AXP)   | S                | 11/14/2016 | 11/21/2016        | \$15,001 - \$50,000 |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Morgan Stanley Smith Barney LLC Brokerage Account |                  |            |                   |                     |
| SP |       | CVS Health Corporation (CVS)   | S                | 11/14/2016 | 11/21/2016        | \$15,001 - \$50,000 |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Morgan Stanley Smith Barney Brokerage Account     |                  |            |                   |                     |
| SP |       | Johnson Controls International plc Ordinary Share (JCI)                                | P                | 11/14/2016 | 11/21/2016        | \$15,001 - \$50,000 |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Morgan Stanley Smith Barney LLC IRA               |                  |            |                   |                     |
| SP |       | NRG Yield, Inc. Class C (NYLD)   | P                | 11/14/2016 | 11/21/2016        | \$1,001 - \$15,000  |
|    |       | FILING STATUS: New<br>SUBHOLDING OF: Morgan Stanley Smith Barney LLC IRA               |                  |            |                   |                     |
| JT |       | Pepsico, Inc. (PEP)  | P                | 11/14/2016 | 11/21/2016        | \$15,001 - \$50,000 |
|    |       | FILING STATUS: New   |                  |            |                   |                     |

| ID   | Owner | Asset                                | Transaction Type | Date       | Notification Date | Amount              |
|--|-------|--------------------------------------|------------------|------------|-------------------|---------------------|
| SUBHOLDING OF: Morgan Stanley Smith Barney LLC Brokerage Account |       |                                      |                  |            |                   |                     |
|  | SP    | Walgreens Boots Alliance, Inc. (WBA) | P                | 11/14/2016 | 11/21/2016        | \$15,001 - \$50,000 |
| FILING STATUS: New   |       |                                      |                  |            |                   |                     |
| SUBHOLDING OF: Morgan Stanley Smith Barney Brokerage Account     |       |                                      |                  |            |                   |                     |

ASSET CLASS DETAILS

- Morgan Stanley Smith Barney Brokerage Account (Owner: SP)  
LOCATION: US
- Morgan Stanley Smith Barney LLC Brokerage Account (Owner: JT)  
LOCATION: US
- Morgan Stanley Smith Barney LLC IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Debbie Dingell , 11/29/2016