



Filing ID #20006174

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu

**Status:** Member

**State/District:** CA27

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		S&P 500 DEC 16 1825 PUT	P	10/20/2016	10/20/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 DEC 16 2000 PUT	S	10/20/2016	10/20/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 DEC 1950 PUT	P	10/20/2016	10/20/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 NOV 30 1825 PUT	P	10/11/2016	10/11/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 NOV 30 1950 PUT	P	10/11/2016	10/11/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		S&P 500 NOV 30 2000 PUT	S	10/11/2016	10/11/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPDR GOLD TR GLD NOV 11 121.5 PUT	S	10/25/2016	10/25/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPDR GOLD TR GLD NOV 4 123 PUT	S	10/18/2016	10/16/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPDR GOLD TR GLD OCT 123.5 PUT	P	10/18/2016	10/18/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPDR GOLD TR GLD OCT 28 122.5 PUT	S	10/12/2016	10/12/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPDR GOLD TR GLD OCT 28 122.5 PUT	P	10/25/2016	10/25/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		SPDR GOLD TR GOLD OCT 14 123 PUT	P	10/12/2016	10/12/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SPDR GOLD TR GOLD OCT 21 123.5 PUT FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	P	10/6/2016	10/6/2016	\$1,001 - \$15,000
		SPDR GOLD TR GOLD OCT 7 124 CALL FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	10/6/2016	10/6/2016	\$1,001 - \$15,000

ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>TD Ameritrade Investment Account LOCATION: US</li></ul>
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INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 11/22/2016