



Filing ID #20006161

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. K. Michael Conaway**Status:** Member**State/District:** TX11

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	10/18/2016	10/21/2016	\$1,001 - \$15,000
SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	10/19/2016	10/24/2016	\$1,001 - \$15,000
SP	R2000 CLIRN ISSUER CIBC CAP 18.04% FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 CLIRN ISSUER CIBC CAP 18.04%	P	09/29/2016	10/6/2016	\$15,001 - \$50,000
SP	SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER BAC CAP 14.80% SV 2,075.81 DUE 04/27/18	P	10/11/2016	10/14/2016	\$50,001 - \$100,000
SP	SP500 CLIRN ISSUER RBC CAP 12.02% SV 1,994.65 DUE 10/28/16 BUF 10.00% FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER RBC CAP 12.02% SV 1,994.65 DUE 10/28/16 BUF 10.00% Redemption	S	10/28/2016	10/31/2016	\$100,001 - \$250,000

ID	Owner Asset	Transaction Date Type	Notification Amount Date
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ASSET CLASS DETAILS

- SP M40 (Merrill Lynch) (Owner: SP)  
LOCATION: US
- SP M81 (Merrill Lynch) (Owner: SP)  
DESCRIPTION: Managed Account – Not self-directed
- Trust M69 (Merrill Lynch) (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. K. Michael Conaway , 11/7/2016