



Filing ID #20006154

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount |
|----|-------|---|------------------|------------|-------------------|--------------------|
| | SP | United Parcel Service, Inc. (UPS) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | S | 10/26/2016 | 11/2/2016 | \$1,001 - \$15,000 |
| | SP | Willis Towers Watson Public Limited Company - Ordinary Shares (WLTW) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | S | 11/4/2016 | 11/5/2016 | \$1,001 - \$15,000 |

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 11/5/2016