



Filing ID #20006066

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP	Celgene Corporation (CELG)		S	10/14/2016	10/15/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Celgene Corporation (CELG)		S	10/14/2016	10/15/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Charter Communications, Inc. - Class A (CHTR)		S	10/11/2016	10/15/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	DENTSPLY SIRONA Inc. (XRAY)		P	10/14/2016	10/15/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Leidos Holdings, Inc. (LDOS)		S	09/30/2016	10/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Verisk Analytics, Inc. (VRSK)		S	10/14/2016	10/15/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Willis Towers Watson Public Limited Company - Ordinary Shares (WLTW)		P	09/30/2016	10/7/2016	\$15,001 - \$50,000
		FILING STATUS: New				

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SUBHOLDING OF: Neuberger Berman - Traditional IRA				

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 10/15/2016