



Filing ID #20006034

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Judy Chu

Status: Member

State/District: CA27

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		S&P 500 DEC 16 1900 PUT	S	09/2/2016	09/2/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: TD Ameritrade Investment Account				
		LOCATION: US				
		DESCRIPTION: PUT				
		S&P 500 NOV 18 2000 PUT	S	09/27/2016	09/27/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: TD Ameritrade Investment Account				
		LOCATION: US				
		DESCRIPTION: PUT				
		S&P 500 DEC 16 1800 PUT	P	09/26/2016	09/26/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: TD Ameritrade Investment Account				
		LOCATION: US				
		DESCRIPTION: PUT				
		S&P 500 DEC 16 1825 PUT	S	09/26/2016	09/26/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: TD Ameritrade Investment Account				
		LOCATION: US				
		DESCRIPTION: PUT				
		S&P 500 DEC 16 1950 PUT	S	09/2/2016	09/2/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: TD Ameritrade Investment Account				
		LOCATION: US				
		DESCRIPTION: PUT				

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		S&P 500 NOV 18 1825 PUT	P	09/27/2016	09/27/2016	\$1,001 - \$15,000
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		S&P 500 NOV 18 1900 PUT	P	09/27/2016	09/27/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 OCT 31 1900 PUT	P	09/20/2016	09/20/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 OCT 31 1950 PUT	S	09/20/2016	09/20/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 OCT 31 PUT	P	09/20/2016	09/27/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				

ASSET CLASS DETAILS

<ul style="list-style-type: none">TD Ameritrade Investment Account LOCATION: US

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Judy Chu , 10/22/2016