



Filing ID #20005997

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas MacArthur**Status:** Member**State/District:** NJ03

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT		Adient plc Ordinary Shares (ADNT)	E	10/31/2016	11/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Received as a spinoff from Johnson Controls (JCI)				
DC		Adient plc Ordinary Shares (ADNT)	E	10/31/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur DESCRIPTION: Spinoff received from Johnson Controls Intl PLC (JCI)				
DC		Adient plc Ordinary Shares (ADNT)	E	10/31/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur DESCRIPTION: Received as spinoff from Johnson Controls Intl PLC (JCI)				
JT		AEGON N.V. (AEG)	P	10/25/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		AEGON N.V. (AEG)	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Airbus Group Unsponsored ADR (EADSY)	P	10/18/2016	11/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	Astellas Pharma Inc Unsponsored ADR (ALPMY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
JT	Atos Origin SA Unsponsored ADR (AEXAY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	09/29/2016	10/1/2016	\$1,001 - \$15,000
JT	Banco Bilbao Vizcaya Argentaria S.A. (BBVA) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	10/13/2016	11/1/2016	\$1,001 - \$15,000
JT	BHP Billiton plc Sponsored ADR (BBL) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/13/2016	10/1/2016	\$15,001 - \$50,000
JT	BLACKROCK HIGH YIELD BOND I FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur LOCATION: US DESCRIPTION: Mutual fund	P	10/3/2016	11/1/2016	\$1,001 - \$15,000
JT	BLUE CUBE SPINCO INC NTS 10.000% 101525 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	10/13/2016	11/1/2016	\$1,001 - \$15,000
JT	CBS OUTDOOR AMERICAS 05.625% 021524 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	09/30/2016	10/1/2016	\$1,001 - \$15,000
JT	CBS OUTDOOR AMERICAS 05.625% 021524 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	09/16/2016	10/1/2016	\$1,001 - \$15,000
JT	CBS OUTDOOR AMERICAS 05.625% 021524 FILING STATUS: New	P	09/19/2016	10/1/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate				
JT		Cedar Fair, L.P. (FUN) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	09/1/2016	10/1/2016	\$15,001 - \$50,000
JT		CELANESE US HOLDINGS LLC 05.875% 061521 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/9/2016	10/1/2016	\$1,001 - \$15,000
JT		CELANESE US HOLDINGS LLC 05.875% 061521 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/13/2016	10/1/2016	\$1,001 - \$15,000
JT		CELANESE US HOLDINGS LLC 05.875% 061521 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/15/2016	10/1/2016	\$1,001 - \$15,000
JT		CELANESE US HOLDINGS LLC 05.875% 061521 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/14/2016	10/1/2016	\$1,001 - \$15,000
JT		China Mobile Limited (CHL) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	10/5/2016	11/1/2016	\$15,001 - \$50,000
JT		China Mobile Limited (CHL) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	10/20/2016	11/1/2016	\$1,001 - \$15,000
JT		CINEMARK INC NTS B/E 05.125% 121522 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/27/2016	11/1/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT		CIT GROUP INC B/E 05.250% 031518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/7/2016	11/1/2016	\$1,001 - \$15,000
JT		CIT GROUP INC B/E 05.250% 031518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/30/2016	10/1/2016	\$1,001 - \$15,000
JT		CIT GROUP INC B/E 05.250% 031518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/22/2016	10/1/2016	\$1,001 - \$15,000
JT		CIT GROUP INC B/E 05.250% 031518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/23/2016	10/1/2016	\$1,001 - \$15,000
JT		CIT GROUP INC B/E 05.250% 031518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/26/2016	10/1/2016	\$1,001 - \$15,000
JT		Colgate-Palmolive Company (CL) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	10/14/2016	11/1/2016	\$15,001 - \$50,000
JT		COMMUNITY HEALTH SYSTEMS 07.125% 071520 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/16/2016	10/1/2016	\$15,001 - \$50,000
JT		CONTINENTAL RESOURCES 05.000% 091522 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/5/2016	11/1/2016	\$1,001 - \$15,000
JT		CONTINENTAL RESOURCES 05.000% 091522 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	10/6/2016	11/1/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		DESCRIPTION: Corporate bond				
JT		CONTINENTAL RESOURCES 05.000% S 091522		10/12/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		CSC HLDGS INC NTS 06.750% 111521	P	09/21/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		CVS Health Corporation (CVS)	P	10/14/2016	11/1/2016	\$100,001 - \$250,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC		CVS Health Corporation (CVS)	P	10/14/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC		CVS Health Corporation (CVS)	P	10/14/2016	10/31/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT		D/B/A Chubb Limited New (CB)	S	10/14/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		DIAMONDBACK ENERGY INC 07.625% 100121	S	09/27/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		DNB ASA SPONSORED ADR Representing 10 (DNHBY)	P	10/20/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		DNB ASA SPONSORED ADR Representing 10 (DNHBY)	P	10/21/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT		DNB ASA SPONSORED ADR Representing 10 (DNHBY)	P	10/26/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		DYNEGY INC NTS B/E 06.750% 110119	S	09/28/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		DYNEGY INC NTS B/E 06.750% 110119	S	09/29/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		DYNEGY INC NTS B/E 06.750% 110119	S	10/4/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		DYNEGY INC NTS B/E 06.750% 110119 DTD050115	S	09/30/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		DYNEGY INC NTS B/E 06.750% 110119 DTD050115	S	10/3/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		E.ON SE Sponsored ADR (EONGY)	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		E.ON SE Sponsored ADR (EONGY)	P	10/13/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		ENGIE SPON ADR	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur LOCATION: US DESCRIPTION: Engie Group Stock - ENGI				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT		Ericsson - ADS each representing 1 underlying Class B share (ERIC) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	10/12/2016	11/1/2016	\$15,001 - \$50,000
JT		Ericsson - ADS each representing 1 underlying Class B share (ERIC) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	10/6/2016	11/1/2016	\$1,001 - \$15,000
JT		Ericsson - ADS each representing 1 underlying Class B share (ERIC) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
JT		Ericsson - ADS each representing 1 underlying Class B share (ERIC) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	10/17/2016	11/1/2016	\$1,001 - \$15,000
JT		Exxon Mobil Corporation (XOM) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/9/2016	10/1/2016	\$1,001 - \$15,000
DC		Freeport-McMoRan, Inc. (FCX) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	P	09/13/2016	10/1/2016	\$1,001 - \$15,000
DC		Freeport-McMoRan, Inc. (FCX) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	P	09/13/2016	10/1/2016	\$1,001 - \$15,000
JT		GENERAL MOTORS FINL CO 03.25% 051518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/1/2016	10/1/2016	\$1,001 - \$15,000
JT		GENERAL MOTORS FINL CO 03.25% 051518 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	09/8/2016	10/1/2016	\$1,001 - \$15,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	GLP CAP L P / GLP FING 04.875% 110120 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/5/2016	11/1/2016	\$15,001 - \$50,000
JT	HCA INC B/E 05.875% 021526 DTD111315 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	10/5/2016	11/1/2016	\$15,001 - \$50,000
JT	HERTZ CORP NTS B/E 06.750% 041519 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Bond called	S	10/11/2016	11/1/2016	\$15,001 - \$50,000
DC	Intel Corporation (INTC) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	09/13/2016	10/1/2016	\$1,001 - \$15,000
JT	Intel Corporation (INTC) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	09/13/2016	10/1/2016	\$15,001 - \$50,000
JT	Intel Corporation (INTC) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/1/2016	10/1/2016	\$1,001 - \$15,000
DC	Intel Corporation (INTC) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	10/14/2016	11/1/2016	\$1,001 - \$15,000
DC	Intel Corporation (INTC) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	10/14/2016	11/1/2016	\$1,001 - \$15,000
JT	Intesa Sanpaolo S.P.A. Sponsored ADR (ISNPY) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
JT	Invesco Ltd (IVZ) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/2/2016	10/1/2016	\$1,001 - \$15,000



ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	Invesco Ltd (IVZ) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	09/13/2016	10/1/2016	\$15,001 - \$50,000
DC	Invesco Ltd (IVZ) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	09/13/2016	10/1/2016	\$1,001 - \$15,000
DC	Invesco Ltd (IVZ) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	10/14/2016	11/1/2016	\$1,001 - \$15,000
DC	Invesco Ltd (IVZ) FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	10/14/2016	11/1/2016	\$1,001 - \$15,000
JT	iShares Russell 1000 Growth ETF (IWF) P FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/30/2016	10/1/2016	\$1,001 - \$15,000
JT	iShares Russell 1000 Value ETF (IWD) P FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/30/2016	10/1/2016	\$1,001 - \$15,000
JT	ISTAR FINANCIAL INC NTS 05.000% 070119 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	09/6/2016	10/1/2016	\$1,001 - \$15,000
JT	Johnson Controls International plc Ordinary Share (JCI) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Received in exchange for shares of Johnson Controls Inc. - same ticker - JCI.	E	09/6/2016	10/1/2016	\$100,001 - \$250,000
JT	Johnson Controls International plc Ordinary Share (JCI) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Received for shares of Tyco Intl	E	09/2/2016	10/1/2016	\$50,001 - \$100,000
DC	Johnson Controls International plc Ordinary Share (JCI)	E	09/6/2016	10/1/2016	\$1,001 - \$15,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur DESCRIPTION: Johnson Controls Inc exchanged for Johnson Controls Intl PLC - name change				
DC	Johnson Controls International plc Ordinary Share (JCI)	E	09/6/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur DESCRIPTION: Johnson Controls Inc. exchanged for Johnson Controls Intl PLC - name change				
DC	Johnson Controls International plc Ordinary Share (JCI)	E	09/2/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur DESCRIPTION: Received for shares of Tyco Intl PLC (TYC)				
DC	Johnson Controls International plc Ordinary Share (JCI)	E	09/2/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur DESCRIPTION: Received for shares of Tyco Intl PLC (TYC)				
JT	Johnson Controls, Inc.	E	09/6/2016	10/1/2016	\$100,001 - \$250,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur LOCATION: US DESCRIPTION: Exchanged for shares of - Johnson Controls Intl PLC - same ticker				
JT	Julius Baer Group Ltd Unsponsored ADR (JBAXY)	P	10/13/2016	11/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	LIFEPOINT HOSP INC NTS 05.875% 120123	S	10/28/2016	11/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	LKQ CORP NTS B/E 04.750% 051523	P	10/27/2016	11/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	LKQ CORP NTS B/E 04.750% 051523	P	10/6/2016	11/1/2016	\$1,001 - \$15,000

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		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Marsh & McLennan Companies, Inc. (MMC)		S	09/13/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Mazda Motor Corp Unsponsored ADR (MZDAY)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	McDonald's Corporation (MCD)		P	09/16/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Medtronic plc. Ordinary Shares (MDT)		S	10/14/2016	11/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	MGIC INVMT CORP NTS B/E 05.750% 081523		P	10/13/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Microsoft Corporation (MSFT)		S	09/13/2016	10/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Microsoft Corporation (MSFT)		P	09/8/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Microsoft Corporation (MSFT)		S	10/14/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
JT	Mitsubishi Estate Co Ltd American Depositary Shares (MITEY)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

<b>ID</b>	<b>Owner Asset</b>	<b>Transaction Type</b>	<b>Date</b>	<b>Notification Date</b>	<b>Amount</b>
JT	Murata Manufacturing Co Ltd Un-sponsored ADR (MRAAY)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
JT	National Grid Transco, PLC National Grid PLC (NGG)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
JT	National Grid Transco, PLC National Grid PLC (NGG)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	10/10/2016	11/1/2016	\$15,001 - \$50,000
JT	NATIONSTAR MORTGAGE LLC 06.500% 080118  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bonds	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
JT	NextEra Energy, Inc. (NEE)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/15/2016	10/1/2016	\$1,001 - \$15,000
JT	Nordstrom, Inc. (JWN)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/13/2016	10/1/2016	\$1,001 - \$15,000
JT	Nordstrom, Inc. (JWN)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	09/13/2016	10/1/2016	\$15,001 - \$50,000
JT	Nordstrom, Inc. (JWN)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	10/14/2016	11/1/2016	\$50,001 - \$100,000
DC	Nordstrom, Inc. (JWN)  FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur	S	09/13/2016	10/1/2016	\$1,001 - \$15,000
DC	Nordstrom, Inc. (JWN)  FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur	S	09/13/2016	10/1/2016	\$1,001 - \$15,000

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JT	Novartis AG (NVS)		S	10/14/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Novartis AG (NVS)		S	09/13/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	ONEOK, Inc. (OKE)		S	09/1/2016	10/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Praxair, Inc. (PX)		P	09/13/2016	10/1/2016	\$100,001 - \$250,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Praxair, Inc. (PX)		P	09/13/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	Praxair, Inc. (PX)		P	09/13/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	RADIAN GROUP INC NTS B/E 5.500% 060119		S	10/19/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	RICE ENERGY INC NTS B/E 06.250% 050122		P	10/11/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	RICE ENERGY INC NTS B/E 06.250% 050122		P	10/6/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Roche Holdings AG Basel American Depositary Shares (RHHBY)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Rockwell Automation, Inc. (ROK)		S	10/14/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Rockwell Automation, Inc. (ROK)		P	09/12/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Royal DSM NV Sponsored ADR (RDSMY)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Royal KPN N.V. (KKPNY)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	SABINE PASS LIQUEFACTION 05.625% 030125		P	10/12/2016	11/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Schlumberger N.V. (SLB)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	SEAGATE HDD CAYMAN B/E 04.750% 010125		P	10/25/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	SEAGATE HDD CAYMAN B/E 04.750% 010125		P	10/26/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	Secom Co Ltd American Depositary Shares (SOMLY)		P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
JT	Smith & Nephew	SNATS, Inc. (SNN)	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Societe Generale France	Sponsored ADR (SCGLY)	P	10/13/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	SPRINGLEAF FIN CORP	NTS 08.250% 121520	P	10/18/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	SPRINGLEAF FIN CORP	NTS 08.250% 121520	P	09/22/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	SPRINGLEAF FIN CORP	NTS 08.250% 121520	P	09/7/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	SPRINGLEAF FIN CORP	NTS 08.250% 121520	P	09/12/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	SPRINGLEAF FIN CORP	NTS 08.250% 121520	P	09/13/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT	SPRINT CAPITAL CORP	06.900% 050119	S	10/18/2016	11/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	TEMPUR SEALY INTL INC 05.500% 061526 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	10/21/2016	11/1/2016	\$1,001 - \$15,000
JT	TEMPUR SEALY INTL INC 05.500% 061526 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	P	10/25/2016	11/1/2016	\$1,001 - \$15,000
JT	TENET HEALTHCARE CORP 08.125% 040122 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/20/2016	11/1/2016	\$1,001 - \$15,000
JT	TENET HEALTHCARE CORP 08.125% 040122 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/20/2016	11/1/2016	\$1,001 - \$15,000
JT	TENET HEALTHCARE CORP 08.125% 040122 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/21/2016	11/1/2016	\$1,001 - \$15,000
JT	TENET HEALTHCARE CORP 08.125% 040122 FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond	S	10/24/2016	11/1/2016	\$1,001 - \$15,000
JT	Texas Instruments Incorporated (TXN) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	S	09/13/2016	10/1/2016	\$15,001 - \$50,000
JT	Total S.A. (TOT) FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	10/12/2016	11/1/2016	\$15,001 - \$50,000
JT	TRIBUNE MEDIA CO NTS B/E 05.875% 071522	P	09/27/2016	10/1/2016	\$1,001 - \$15,000



ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		TRIBUNE MEDIA CO NTS B/E 05.875% P 071522		09/26/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Corporate bond				
JT		Tyco International plc (TYC)	E	09/2/2016	10/1/2016	\$50,001 - \$100,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur DESCRIPTION: Exchanged for shares of Johnson Controls Intl (JCI)				
DC		Tyco International plc (TYC)	E	09/2/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur DESCRIPTION: Exchanged for shares of Johnson Controls Intl PLC (JCI)				
DC		Tyco International plc (TYC)	E	09/2/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur DESCRIPTION: Exchanged for shares of JCI				
JT		Unibail-Rodamco SE Unsponsored ADR (UNRDY)	S	10/10/2016	11/1/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Union Pacific Corporation (UNP)	S	09/13/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Vanguard FTSE Developed Markets ETF (VEA)	P	09/19/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Vanguard Mid-Cap Value ETF - DNQ (VOE)	P	09/26/2016	10/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT		Vanguard Small-Cap ETF - DNQ (VB)	P	09/26/2016	10/1/2016	\$1,001 - \$15,000

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vivendi SA Unsponsored ADR (VIVHY)	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)	P	09/20/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)	S	10/3/2016	11/1/2016	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD)	S	10/13/2016	11/1/2016	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
DC	Weatherford International plc (WFT)	P	09/12/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	Weatherford International plc (WFT)	P	09/13/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO Isabella MacArthur				
DC	Weatherford International plc (WFT)	P	09/12/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: MacArthur Family 2008 Irr Tr FBO David MacArthur				
JT	Weatherford International plc (WFT)	P	09/12/2016	10/1/2016	\$1,001 - \$15,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				
JT	Western Gas Equity Partners, LP Common Units Representing Limited Partner Interests (WGP)	P	09/2/2016	10/1/2016	\$15,001 - \$50,000
	FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur				

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
JT	Western Gas Equity Partners, LP Common Units Representing Limited Partner Interests (WGP)  FILING STATUS: New SUBHOLDING OF: Thomas C MacArthur and Deborah A MacArthur	P	09/1/2016	10/1/2016	\$15,001 - \$50,000

## ASSET CLASS DETAILS

- o MacArthur Family 2008 Irr Tr FBO David MacArthur (Owner: DC)
- o MacArthur Family 2008 Irr Tr FBO Isabella MacArthur (Owner: DC)
- o Thomas C MacArthur and Deborah A MacArthur (Owner: JT)  
LOCATION: US

## INITIAL PUBLIC OFFERINGS

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas MacArthur , 11/7/2016