



Filing ID #20005957

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Raúl M. Grijalva**Status:** Member**State/District:** AZ03

## TRANSACTIONS

| ID | Owner | Asset  | Transaction Type | Date       | Notification Date | Amount             |
|----|-------|--|------------------|------------|-------------------|--------------------|
| SP |       | Apple Inc. (AAPL)<br>FILING STATUS: New  | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | Eli Lilly and Company (LLY)<br>FILING STATUS: New                                | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | Honeywell International Inc. (HON)<br>FILING STATUS: New                         | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | Ingersoll-Rand plc (IR)<br>FILING STATUS: New                                    | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | International Business Machines Corporation (IBM)<br>FILING STATUS: New          | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | John Hancock Financial Opportunities Fund (BTO)<br>FILING STATUS: New            | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | JP Morgan Chase & Co. (JPM)<br>FILING STATUS: New                                | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | LyondellBasell Industries NV Ordinary Shares Class A (LYB)<br>FILING STATUS: New | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
| SP |       | Mastercard Incorporated (MA)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |

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|----|--|------------------|------------|-------------------|--------------------|
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Microchip Technology Incorporated (MCHP)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Microsoft Corporation (MSFT)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Mylan N.V. (MYL)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Pepsico, Inc. (PEP)  | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Pfizer, Inc. (PFE)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Skechers U.S.A., Inc. (SKX)  | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Starbucks Corporation (SBUX)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | TeklaLife Sciences Investors (HQL)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Textron Inc. (TXT)   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | The Gabelli Healthcare & Wellness Trust Common Shares of Beneficial Interest (GRX) | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Unilever PLC (UL)  | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | United Technologies Corporation (UTX)  | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |
| SP | Visa Inc. (V)  | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | FILING STATUS: New   |                  |            |                   |                    |

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|    | SP    | Wells Fargo & Company (WFC)<br>FILING STATUS: New | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |
|    | SP    | Weyerhaeuser Company (WY)<br>FILING STATUS: New   | S                | 09/19/2016 | 09/19/2016        | \$1,001 - \$15,000 |

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Raúl M. Grijalva , 09/28/2016