



Filing ID #20005814

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP	Allergan plc	Ordinary Shares (AGN) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/26/2016	09/1/2016	\$15,001 - \$50,000
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SP	CVS Health Corporation (CVS)	 FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/29/2016	09/2/2016	\$15,001 - \$50,000
SP	Neuberger Berger Equity Fdsall Cap Core FD Institutional	 FILING STATUS: New LOCATION: US DESCRIPTION: This is cash management fund through my broker's company.	S	08/3/2016	08/10/2016	\$1,001 - \$15,000
SP	PayPal Holdings, Inc. (PYPL)	 FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/16/2016	08/23/2016	\$15,001 - \$50,000
SP	Zoetis Inc. Class A (ZTS)	 FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	08/22/2016	08/29/2016	\$15,001 - \$50,000

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 09/3/2016