



Filing ID #20005721

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Luis V. Gutierrez**Status:** Member**State/District:** IL04

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	American Financial Group, Inc. (AFG) FILING STATUS: New SUBHOLDING OF: Charles Schwab #5-14	P	04/24/2015	04/24/2015	\$15,001 - \$50,000
	SP	American Financial Group, Inc. (AFG) FILING STATUS: New SUBHOLDING OF: Charles Schwab #5-14	S	05/18/2015	05/18/2015	\$15,001 - \$50,000
	SP	GAIN Capital Holdings, Inc. (GCAP) FILING STATUS: New SUBHOLDING OF: Charles Schwab #5-14	P	04/23/2015	04/23/2015	\$15,001 - \$50,000
	SP	GAIN Capital Holdings, Inc. (GCAP) FILING STATUS: New SUBHOLDING OF: Charles Schwab #5-14	S	05/18/2015	05/18/2015	\$15,001 - \$50,000

## ASSET CLASS DETAILS

- Charles Schwab #5-14 (Owner: SP)  
LOCATION: US  
DESCRIPTION: Brokerage Account

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Luis V. Gutierrez , 08/15/2016