



Filing ID #20005688

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu**Status:** Member**State/District:** CA27

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	I SHARES 20 YR TREASURY BND ETF FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CLOSE ASSIGNMENT	P	07/1/2016	07/1/2016	\$100,001 - \$250,000
	ISHARES TLT JUL 1 138 PUT ASSIGNMENT FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL ASSIGNMENT	S	07/1/2016	07/1/2016	\$100,001 - \$250,000
	S&P 500 AUG 17 2150 CALL FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL	S	07/28/2016	07/28/2016	\$1,001 - \$15,000
	S&P 500 AUG 31 1750 PUT FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	P	07/7/2016	07/7/2016	\$1,001 - \$15,000
	S&P 500 AUG 31 1925 PUT FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US	S	07/7/2016	07/7/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
DESCRIPTION: PUT						
		S&P 500 AUG 31 1925 PUT	P	07/7/2016	07/7/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: PUT						
		S&P 500 JUL 29 1975 PUT	S	07/1/2016	07/1/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: PUT						
		S&P 500 JUL 29 2110 CALL	P	07/1/2016	07/1/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: CALL						
		S&P 500 JUL 29 2130 CALL	S	07/1/2016	07/1/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: CALL						
		S&P 500 JUL 29 2130 CALL	P	07/11/2016	07/11/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: CALL						
		S&P 500 JUL 29 2130 PUT	P	07/14/2016	07/14/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: PUT						
		S&P 500 JUL 29 2140 CALL	P	07/28/2016	07/28/2016	\$1,001 - \$15,000
FILING STATUS: New						
SUBHOLDING OF: TD Ameritrade Investment Account						
LOCATION: US						
DESCRIPTION: CALL						
		S&P 500 JUL 29 2140 CALL	S	07/11/2016	07/11/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: CALL				
		<b>S&amp;P 500 JUL 29 2140 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/14/2016	07/14/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 OCT 21 1900 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/25/2016	07/25/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 OCT 21 1950 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/25/2016	07/25/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 OCT 21 2000 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	P	07/25/2016	07/25/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 16 1725 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	P	07/1/2016	07/1/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 16 1775 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/1/2016	07/1/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 16 1775 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US	S	07/1/2016	07/1/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
DESCRIPTION: PUT						
		S&P 500 SEP 16 1900 PUT	S	07/1/2016	07/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 16 1900 PUT	P	07/18/2016	07/18/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 16 1950 PUT	S	07/18/2016	07/18/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 16 1950 PUT	P	07/1/2016	07/1/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 30 1750 PUT	S	07/5/2016	07/5/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 30 1750 PUT	P	07/12/2016	07/12/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 30 1775 PUT	S	07/8/2016	07/8/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		S&P 500 SEP 30 1775 PUT	S	07/12/2016	07/12/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT				
		<b>S&amp;P 500 SEP 30 1825 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/12/2016	07/12/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 30 1900 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/8/2016	07/8/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 30 1900 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/5/2016	07/5/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 30 1950 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	P	07/8/2016	07/8/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 30 1950 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	P	07/5/2016	07/5/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 30 1960 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US DESCRIPTION: PUT	S	07/12/2016	07/12/2016	\$1,001 - \$15,000
		<b>S&amp;P 500 SEP 30 2000 PUT</b> FILING STATUS: New SUBHOLDING OF: TD Ameritrade Investment Account LOCATION: US	P	07/12/2016	07/12/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		DESCRIPTION: PUT				
		SPDR GOLD TR GOLD SHS	P	07/1/2016	07/1/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: TD Ameritrade Investment Account				
		LOCATION: US				
		DESCRIPTION: CALL				

ASSET CLASS DETAILS

<div>o TD Ameritrade Investment Account</div> <div>LOCATION: US</div>
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INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 08/16/2016