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FILER INFORMATION

Name: Hon. Earl Leroy Carter

Status: Member **State/District:** GA01

TRANSACTIONS

ID	Owner Asset	Transactio Type	on Date	Notification Date	Amount
	Omnicare, Inc. (OCR) S	08/18/2015	08/8/2016	\$15,001 - \$50,000
	FILING STATUS: New Subholding Of: Stifel				
	Description: Sale due to merger. Did not become aware of transaction until working to complete annual financial				
	disclosure.				

ASSET CLASS DETAILS

Stifel

LOCATION: US

COMMENTS

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Earl Leroy Carter, 08/9/2016