

# Periodic Transaction Report

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## FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Markit Ltd Common Shares (MRKT) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio		07/12/2016	07/19/2016	\$15,001 - \$50,000
	SP	Starbucks Corporation (SBUX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	07/21/2016	07/23/2016	\$1,001 - \$15,000
	SP	Starbucks Corporation (SBUX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P mal IRA	07/22/2016	07/23/2016	\$1,001 - \$15,000

#### ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS

C Yes No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 07/23/2016