



Filing ID #20005220

# PERIODIC TRANSACTION REPORT

---

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

---

## FILER INFORMATION

**Name:** Hon. Lou Barletta**Status:** Member**State/District:** PA11

## TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		AllianceBernstein Short Duration Government Bond	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Auto Rebalance				
		AllianceBernstein Short Duration Government Bond	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
SP		AllianceBernstein Short Duration Government Bond	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				
SP		AXA 2000 Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				
		AXA 2000 Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
		AXA 2000 Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Auto Rebalance				
		AXA 400 Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Auto Rebalance				
		AXA 400 Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
SP		AXA 400 Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				
SP		AXA 500 Managed Volatility	E	03/7/2016	03/7/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				
		AXA 500 Managed Volatility	E	03/7/2016	03/7/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
		AXA 500 Managed Volatility	E	03/7/2016	03/7/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Auto Rebalance				
		AXA International Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Auto Rebalance				
		AXA International Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
SP		AXA International Managed Volatility	E	03/7/2016	03/7/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				
		Core Bond Index	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
		Core Bond Index	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Auto Rebalance				
SP		Core Bond Index	E	03/7/2016	03/7/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				
		Intermediate Government Bond	E	03/7/2016	03/7/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
		Intermediate Government Bond	E	03/7/2016	03/7/2016	\$50,001 - \$100,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone IRA 314052432 DESCRIPTION: Annuity Auto Rebalance				
SP		Intermediate Government Bond	E	03/7/2016	03/7/2016	\$50,001 - \$100,000
		FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Auto Rebalance				

ASSET CLASS DETAILS

- AXA Retirement Cornerstone 314052430 (Owner: SP)
- AXA Retirement Cornerstone 314052431
- AXA Retirement Cornerstone IRA 314052432

INITIAL PUBLIC OFFERINGS

— —

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lou Barletta , 05/23/2016