



Filing ID #20005188

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	American Tower Corporation (AMT) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Roth IRA	S	05/5/2016	05/12/2016	\$319.00
SP	Delta Air Lines, Inc. (DAL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	05/10/2016	05/18/2016	\$1,001 - \$15,000
SP	HCA Inc FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Due date is 2/15/2020 3.561% interest	P	05/11/2016	05/18/2016	\$15,001 - \$50,000
SP	Level 3 Fing FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Call of Bond	S	04/21/2016	04/28/2016	\$15,001 - \$50,000
SP	Newell Rubbermaid FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Due date is 4/1/2021 3.15%	P	05/12/2016	05/18/2016	\$15,001 - \$50,000
SP	SLM Corp FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Due date is 9/25/2017. 3.107%	P	05/11/2016	05/18/2016	\$15,001 - \$50,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	T-Mobile US, Inc. (TMUS)	P	05/10/2016	05/18/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: Neuberger Berman - Traditional IRA				
	SP	Wells Fargo & Co	P	05/11/2016	05/18/2016	\$15,001 - \$50,000
		FILING STATUS: New				
		SUBHOLDING OF: Neuberger Berman - Traditional IRA				
		DESCRIPTION: Due date is 2/13//2023 2.894%				

ASSET CLASS DETAILS

- Neuberger Berman - Roth IRA (Owner: SP)
- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/17/2016