

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

| Name: | Hon. Alan S. Lowenthal |
|-----------------|------------------------|
| Status: | Member |
| State/District: | CA47 |

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount |
|----|-------|--|----------------------|------------|----------------------|---------------------|
| | SP | Seaspan Corporation Seaspan Corporation Common Shares (SSW) Filing Status: New Subholding Of: Neuberger Berman - Traditio | S onal IRA | 04/26/2016 | 05/9/2016 | \$1,001 - \$15,000 |
| | SP | T-Mobile US, Inc. (TMUS) Filing Status: New Subholding Of: Neuberger Berman - Traditio | P onal IRA | 04/19/2016 | 04/26/2016 | \$15,001 - \$50,000 |

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

• Yes • No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 05/9/2016