



Filing ID #20004790

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

| ID | Owner | Asset | Transaction Type | Date | Notification Date | Amount |
|----|--|---|------------------|------------|-------------------|--------------------|
| SP | Celgene Corporation (CELG) | | P | 03/7/2016 | 03/14/2016 | \$1,001 - \$15,000 |
| | | FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | | | | |
| SP | Celgene Corporation (CELG) | | P | 03/17/2016 | 03/19/2016 | \$1,001 - \$15,000 |
| | | FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | | | | |
| SP | Fidelity National Information Services, Inc. (FIS) | | P | 03/18/2016 | 03/19/2016 | \$1,001 - \$15,000 |
| | | FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | | | | |
| SP | Intercontinental Exchange Inc. (ICE) | | P | 03/9/2016 | 03/19/2016 | \$1,001 - \$15,000 |
| | | FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | | | | |
| SP | Perrigo Company plc Ordinary Shares (PRGO) | | P | 03/4/2016 | 03/11/2016 | \$1,001 - \$15,000 |
| | | FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA | | | | |

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 03/19/2016