



Filing ID #20004720

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Lou Barletta**Status:** Member**State/District:** PA11

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		AXA 2000 Managed Volatility Portfolio	S	02/8/2016	02/8/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: AXA Retirement Cornerstone 314052432				
		DESCRIPTION: Annuity Rebalance				
		AXA 2000 Managed Volatility Portfolio	S	02/8/2016	02/8/2016	\$15,001 - \$50,000
		FILING STATUS: New				
		SUBHOLDING OF: AXA Retirement Cornerstone 314052431				
		DESCRIPTION: Annuity Rebalancing				
SP		AXA 2000 Managed Volatility Portfolio	S	01/13/2016	01/13/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: AXA Retirement Cornerstone 314052430				
		DESCRIPTION: Annuity Rebalance				
SP		AXA 2000 Managed Volatility Portfolio	S	02/16/2016	02/16/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: AXA Retirement Cornerstone 314052430				
		DESCRIPTION: Annuity Rebalance				
SP		AXA 400 Managed Volatility Portfolio	S	02/16/2016	02/16/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: AXA Retirement Cornerstone 314052430				
		DESCRIPTION: Annuity Rebalance				
SP		AXA 400 Managed Volatility Portfolio	S	01/13/2016	01/13/2016	\$1,001 - \$15,000
		FILING STATUS: New				
		SUBHOLDING OF: AXA Retirement Cornerstone 314052430				

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DESCRIPTION: Annuity Rebalance						
		AXA 400 Managed Volatility Portfolio	S	02/8/2016	02/8/2016	\$1,001 - \$15,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Rebalancing						
		AXA 400 Managed Volatility Portfolio	S	02/8/2016	02/8/2016	\$1,001 - \$15,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052432 DESCRIPTION: Annuity Rebalance						
		AXA 500 Managed Volatility Portfolio	S	02/8/2016	02/8/2016	\$15,001 - \$50,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052432 DESCRIPTION: Annuity rebalance						
		AXA 500 Managed Volatility Portfolio	S	02/8/2016	02/8/2016	\$15,001 - \$50,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Rebalancing						
SP		AXA 500 Managed Volatility Portfolio	S	01/13/2016	01/13/2016	\$1,001 - \$15,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Rebalance						
SP		AXA 500 Managed Volatility Portfolio	S	02/16/2016	02/16/2016	\$1,001 - \$15,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Rebalance						
SP		AXA International Managed Volatility Portfolio	S	02/16/2016	02/16/2016	\$1,001 - \$15,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Rebalance						
SP		AXA International Managed Volatility Portfolio	S	01/13/2016	01/13/2016	\$1,001 - \$15,000
FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Rebalance						
		AXA International Managed Volatility	S	02/8/2016	02/8/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		Portfolio FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Rebalancing				
		AXA International Managed Volatility Portfolio FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052432 DESCRIPTION: Annuity Rebalance	S	02/8/2016	02/8/2016	\$1,001 - \$15,000
		Intermediate Government Bond Portfolio FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052432 DESCRIPTION: Annuity Rebalancing	P	02/8/2016	02/8/2016	\$50,001 - \$100,000
		Intermediate Government Bond Portfolio FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052431 DESCRIPTION: Annuity Rebalancing	P	02/8/2016	02/8/2016	\$15,001 - \$50,000
SP		Intermediate Government Bond Portfolio FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Rebalance	P	01/13/2016	01/13/2016	\$15,001 - \$50,000
SP		Intermediate Government Bond Portfolio FILING STATUS: New SUBHOLDING OF: AXA Retirement Cornerstone 314052430 DESCRIPTION: Annuity Rebalance	P	02/16/2016	02/16/2016	\$1,001 - \$15,000

ASSET CLASS DETAILS

- AXA Retirement Cornerstone 314052430 (Owner: SP)
- AXA Retirement Cornerstone 314052431
- AXA Retirement Cornerstone 314052432

INITIAL PUBLIC OFFERINGS

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☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lou Barletta , 03/9/2016