

# PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

# FILER INFORMATION

Name: Hon. K. Michael Conaway

Status: Member State/District: TX11

### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Ameriprise Financial, Inc. (AMP) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	01/28/2016	02/2/2016	\$1,001 - \$15,000
	SP	AT&T Inc. (T)  FILING STATUS: New  SUBHOLDING OF: SP E62 (Edward Jones)	S	02/17/2016	02/19/2016	\$1,001 - \$15,000
	SP	Invesco Ltd (IVZ) FILING STATUS: New SUBHOLDING OF: SP E62 (Edward Jones)	P	02/17/2016	02/19/2016	\$1,001 - \$15,000
	SP	Mattel, Inc. (MAT)  FILING STATUS: New  SUBHOLDING OF: SP M81 (Merrill Lynch)	S	02/26/2016	03/2/2016	\$1,001 - \$15,000
	SP	Medtronic plc. Ordinary Shares (MDT) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	01/28/2016	02/3/2016	\$1,001 - \$15,000
	SP	MetLife, Inc. (MET) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	P	02/26/2016	03/2/2016	\$1,001 - \$15,000
	SP	Microsoft Corporation (MSFT) FILING STATUS: New SUBHOLDING OF: SP E62 (Edward Jones)	S	02/17/2016	02/19/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Precision Castparts Corporation (PCP) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	E	02/1/2016	02/3/2016	\$1,001 - \$15,000
	SP	R2000 ARN ISSUER BARC CAP 18.51% SV 1,003.273 DUE 03/31/17  FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 ARN ISSUER BARC CAP			02/26/2016	\$50,001 - \$100,000
	SP	R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19 FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER DB ST	P EP 30.00% SV 1,0	<b>02/23/2016</b> 31.58 DUE 02/		\$15,001 - \$50,000
	SP	R2000 STEPUP ISSUER DB STEP 30.00% SV 1,031.58 DUE 02/25/19 FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER DB ST	P EP 30.00% SV 1,0	<b>02/25/2016</b> 31.58 DUE 02/		\$15,001 - \$50,000
	SP	R2000 STEPUP ISSUER HSBC STEP 25.00% SV1,137.686 DUE 12/21/18 FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: R2000 STEPUP ISSUER HSBC	P STEP 25.00% SV1		02/29/2016 12/21/18	\$15,001 - \$50,000
	SP	R2000 STEPUP ISSUER HSBC STEP 25.00% SV1,137.686 DUE 12/21/18  FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US  DESCRIPTION: R2000 STEPUP ISSUER HSBC	S STEP 25.00% SV1		02/29/2016	\$50,001 - \$100,000
	SP	Regeneron Pharmaceuticals, Inc. (REGN) FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)	S	01/28/2016	02/2/2016	\$1,001 - \$15,000
	SP	Regeneron Pharmaceuticals, Inc. (REGN)	S	02/26/2016	03/2/2016	\$1,001 - \$15,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
		FILING STATUS: New SUBHOLDING OF: SP M81 (Merrill Lynch)				
	SP	SP500 CLIRN ISSUER CS CAP 19.85% SV 1,951.70 DUE 02/23/18  FILING STATUS: New SUBHOLDING OF: SP M40 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 1		02/25/2016 DUE 02/23/1	7	\$50,001 - \$100,000
	SP	SP500 CLIRN ISSUER CS CAP 19.85% SV 1,951.70 DUE 02/23/18  FILING STATUS: New SUBHOLDING OF: Trust M69 (Merrill Lynch) LOCATION: US DESCRIPTION: SP500 CLIRN ISSUER CS CAP 1		02/25/2016 DUE 02/23/1		\$15,001 - \$50,000

# ASSET CLASS DETAILS

o SP E62 (Edward Jones) (Owner: SP)

LOCATION: US

• SP M40 (Merrill Lynch) (Owner: SP)

LOCATION: US

• SP M81 (Merrill Lynch) (Owner: SP)

Description: Managed Account - Not self-directed

• Trust M69 (Merrill Lynch) (Owner: SP)

# INITIAL PUBLIC OFFERINGS

C Yes No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway, 03/2/2016