

# PERIODIC TRANSACTION REPORT

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### FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

#### **TRANSACTIONS**

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Celgene Corporation (CELG)  FILING STATUS: New  SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	01/26/2016	02/1/2016	\$1,001 - \$15,000
	SP	Delphi Automotive plc Ordinary Shares (DLPH)  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio		02/9/2016	02/12/2016	\$1,001 - \$15,000
	SP	Facebook, Inc Class A (FB) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	02/5/2016	02/12/2016	\$1,001 - \$15,000
	SP	Goldman Sachs Group, Inc. (GS) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	01/25/2016	02/1/2016	\$1,001 - \$15,000
	SP	ITC Holdings Corp. (ITC)  FILING STATUS: New  SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	02/9/2016	02/12/2016	\$15,001 - \$50,000
	SP	ITC Holdings Corp. (ITC)  FILING STATUS: New  SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	02/1/2016	02/8/2016	\$1,001 - \$15,000
	SP	JP Morgan Chase & Co. (JPM) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	02/11/2016	02/12/2016	\$1,001 - \$15,000

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	SP	Merck & Company, Inc. Common Stock (MRK)  FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition		01/21/2016	01/28/2016	\$15,001 - \$50,000
	SP	Synchrony Financial (SYF) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	02/3/2016	02/10/2016	\$15,001 - \$50,000
	SP	Verisk Analytics, Inc. (VRSK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	01/29/2016	02/5/2016	\$15,001 - \$50,000

### ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

## INITIAL PUBLIC OFFERINGS



### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal, 02/12/2016