



Filing ID #20004468

PERIODIC TRANSACTION REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/15/2016	01/25/2016	\$1,001 - \$15,000
SP		Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	01/19/2016	01/22/2016	\$1,001 - \$15,000
SP		Delphi Automotive plc Ordinary Shares (DLPH) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/20/2016	01/25/2016	\$1,001 - \$15,000
SP		FedEx Corporation (FDX) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/15/2016	01/25/2016	\$15,001 - \$50,000
SP		General Electric Company (GE) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/20/2016	01/25/2016	\$1,001 - \$15,000
SP		Kimberly-Clark Corporation (KMB) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	01/20/2016	01/25/2016	\$15,001 - \$50,000
SP		Merck & Company, Inc. Common Stock	P	01/21/2016	01/25/2016	\$15,001 - \$50,000

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		(MRK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	PPG Industries, Inc. (PPG)		S	01/20/2016	01/25/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	Sherwin-Williams Company (SHW)		S	01/20/2016	01/25/2016	\$1,001 - \$15,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				
SP	UnitedHealth Group Incorporated Common Stock (UNH)		S	01/19/2016	01/22/2016	\$15,001 - \$50,000
		FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA				

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 01/25/2016