

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Susan W. Brooks

Status: Member **State/District:** IN05

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Alexar Therapeutics, Inc. FILING STATUS: New SUBHOLDING OF: Other Assets LOCATION: US DESCRIPTION: Investment	P	12/23/2015	01/3/2016	\$1,001 - \$15,000
	SP	CASTLE CREEK INVESTORS, LLC - BUILDING ONE FILING STATUS: New SUBHOLDING OF: Other Assets LOCATION: Indianapolis, IN, US DESCRIPTION: Investment	S	12/11/2015	01/3/2016	\$50,001 - \$100,000
	SP	CASTLE CREEK INVESTORS, LLC - BUILDING TWO FILING STATUS: New SUBHOLDING OF: Other Assets LOCATION: Indianapolis, IN, US DESCRIPTION: Investment	S	12/11/2015	01/3/2016	\$50,001 - \$100,000
	SP	Velicept Therapeutics, Inc. FILING STATUS: New SUBHOLDING OF: Other Assets LOCATION: US DESCRIPTION: Investment	P	11/23/2015	01/3/2016	\$1,001 - \$15,000

ASSET CLASS DETAILS

• Other Assets (Owner: SP) Location: US

INITIAL PUBLIC OFFERINGS

C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Susan W. Brooks, 01/11/2016