



Filing ID #20004120

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal**Status:** Member**State/District:** CA47

TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
SP	Activision Blizzard, Inc (ATVI) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	11/6/2015	11/16/2015	\$15,001 - \$50,000
SP	Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/12/2015	11/19/2015	\$1,001 - \$15,000
SP	Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/5/2015	11/16/2015	\$1,001 - \$15,000
SP	HCA Holdings, Inc. (HCA) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	11/6/2015	11/16/2015	\$15,001 - \$50,000
SP	Level 3 FING INC FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA DESCRIPTION: Telecommunications field.	P	11/12/2015	11/19/2015	\$15,001 - \$50,000
SP	Procter & Gamble Company (PG) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/30/2015	11/5/2015	\$15,001 - \$50,000

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Service Corporation International (SCI) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/30/2015	11/5/2015	\$15,001 - \$50,000
	SP	Synchrony Financial (SYF) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/17/2015	11/19/2015	\$1,001 - \$15,000
	SP	Synchrony Financial (SYF) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/12/2015	11/19/2015	\$1,001 - \$15,000
	SP	Union Pacific Corporation (UNP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/17/2015	11/19/2015	\$1,001 - \$15,000
	SP	Union Pacific Corporation (UNP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	11/4/2015	11/16/2015	\$1,001 - \$15,000

ASSET CLASS DETAILS

- o Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 11/19/2015