



Filing ID #20004016

PERIODIC TRANSACTION REPORT

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member

State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
SP		Allergan plc Ordinary Shares (AGN) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/9/2015	10/20/2015	\$1,001 - \$15,000
SP		eBay Inc. (EBAY) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	10/8/2015	10/20/2015	\$15,001 - \$50,000
SP		Johnson & Johnson (JNJ) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	S	10/13/2015	10/20/2015	\$15,001 - \$50,000
SP		Pfizer, Inc. (PFE) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/13/2015	10/20/2015	\$15,001 - \$50,000
SP		Sherwin-Williams Company (SHW) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditional IRA	P	10/13/2015	10/20/2015	\$1,001 - \$15,000

ASSET CLASS DETAILS

- Neuberger Berman - Traditional IRA (Owner: SP)

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alan S. Lowenthal , 10/31/2015