

Periodic Transaction Report

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FILER INFORMATION

Name: Hon. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	JP Morgan Chase & Co. (JPM) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	01/6/2015	01/12/2015	\$15,001 - \$50,000
	SP	Oracle Corporation (ORCL) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	P nal IRA	01/22/2015	01/25/2015	\$15,001 - \$50,000
	SP	SanDisk Corporation (SNDK) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	01/13/2015	01/20/2015	\$15,001 - \$50,000
	SP	Seadrill Partners LLC Common Units Representing Limited Liability Company Interests (SDLP) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Traditio	S nal IRA	01/22/2015	01/25/2015	\$1,001 - \$15,000

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

COMMENTS

INITIAL PUBLIC OFFERINGS

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C Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

 $\textbf{Digitally Signed:} \ \text{Hon. Alan S. Lowenthal , } 01/25/2015$