Clerk of the House of Representatives • Legislative Resource Center • B-106 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Mr. Alan S. Lowenthal

Status: Member State/District: CA47

TRANSACTIONS

ID	Owner	Asset	Transaction Type	Date	Notification Date	Amount
	SP	Bank of America Corporation (BAC) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	P onal IRA	10/7/2014	10/14/2014	\$15,001 - \$50,000
	SP	Kansas City Southern (KSU) FILING STATUS: New SUBHOLDING OF: Neuberger Berman - Tradition	S onal IRA	10/15/2014	10/19/2014	\$15,001 - \$50,000

ASSET CLASS DETAILS

• Neuberger Berman - Traditional IRA (Owner: SP)

COMMENTS

INITIAL PUBLIC OFFERINGS

C Yes C No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

 $\textbf{Digitally Signed:} \ Mr. \ Alan \ S. \ Lowenthal \ , 10/19/2014$