



Filing ID #20001268

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Mr. Raúl M. Grijalva

**Status:** Member

**State/District:** AZ03

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
2000002584	SP Brookfield Global Listed Infrastructure Income Fund Closed End Fund (INF)  FILING STATUS: Deleted	P	06/16/2014	07/1/2014	\$1,001 - \$15,000
2000002585	SP Oppenheimer Holdings, Inc. Class A Common Stock (OPY)  FILING STATUS: Deleted	P	06/16/2014	07/1/2014	\$1,001 - \$15,000

## COMMENTS

## INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. Raúl M. Grijalva , 07/9/2014