



Filing ID #20000990

# PERIODIC TRANSACTION REPORT

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## FILER INFORMATION

**Name:** Mr. William L. Owens**Status:** Member**State/District:** NY21

## TRANSACTIONS

ID	Owner Asset	Transaction Type	Date	Notification Date	Amount
	Altria Group, Inc. (MO) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	AT&T Inc. (T) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Avista Corporation (AVA) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Bank of America Corporation (BAC) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Bank Of Montreal (BMO) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Duke Energy Corporation (DUK) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000

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	<b>Federated Government Obligation FD #5</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens LOCATION: US DESCRIPTION: Money Market Fund	P	05/12/2014	05/19/2014	\$100,001 - \$250,000
	<b>Groupon, Inc. - Class A (GRPN)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Intel Corporation (INTC)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Intrexon Corporation (XON)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Johnson &amp; Johnson (JNJ)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Jones Lang LaSalle Incorporated (JLL)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Kimberly-Clark Corporation (KMB)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Pepsico, Inc. (PEP)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Seattle Genetics, Inc. (SGEN)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	<b>Southern Company (SO)</b> FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000

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	Sysco Corporation (SYY) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Unifi, Inc. New (UFI) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000
	Verizon Communications Inc. (VZ) FILING STATUS: New SUBHOLDING OF: IRA of William L. Owens	S	05/12/2014	05/19/2014	\$1,001 - \$15,000

ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ IRA of William L. Owens DESCRIPTION: IRA of William L. Owens - NBT Bank</li></ul>
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COMMENTS

INITIAL PUBLIC OFFERINGS

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Periodic Transaction Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Mr. William L. Owens , 05/20/2014