

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

HAND DELIVERED Page 1 of

NAME: Harold Dallas Rogers	OFFICE TELEPHONE: (202) 225-4601	LEGISLATIVE RESOURCE CENTER 2013 DEC 12 PM 4: 08 OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES (For Official Use Only)
☒ Member of the U.S. House of Representatives State: <u>Kentucky</u> District: <u>05</u> File an original and 2 copies.	☐ Officer or Employee Employing Office: _____ File an original and 1 copy.	
A \$200 penalty shall be assessed against anyone who files more than 30 days late.		
Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO		
Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending. ☒ Initial Report ☐ Amendment Date of Report being Amended: _____		

FULL ASSET NAME	TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION										
	PURCHASE	SALE	EXCHANGE													
JT SP DC	Provide full name, not ticker symbol.															
JT	Example: Mega Corp. Common Stock	X		8/14/12	8/14/12		X									
	IBM, Stock	X		11/04/13	11/29/13	X										
	Exxon Mobil Corp, Stock	X		11/06/13	11/29/13	X										
	Hewlett Packard Co., Stock	X		11/06/13	11/29/13	X										
	Volkswagen AG, Stock	X		11/07/13	11/29/13	X										
	Unilever NV New York Shs, Stock	X		11/08/13	11/29/13	X										

(This page will be publicly disclosed.)

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JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	
	Koninklijke Ahold NV ADR, Stock		X		11/12/13	11/29/13	X										
	Nissan Motor Co. Ltd. ADR, Stock		X		11/12/13	11/29/13	X										
	Kunlun Energy Co. Limited, Stock		X		11/12/13	11/29/13	X										
	Novartis Ag ADR, Stock		X		11/27/13	11/29/13	X										
	Roche Holding Ltd. ADR, Stock	X			11/29/13	11/29/13	X										
FOOTNOTE NUMBER																	
FILER NOTES (optional)																	

(This page will be publicly disclosed.)