

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

HAND DELIVERED

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LEGISLATIVE RESOURCE CENTER

NAME:

Harold Dallas Rogers

OFFICE TELEPHONE:

(202) 225-4601

2013 MAY -9 AM 11:13

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

☒ Member of the U.S. House of Representatives

State: Kentucky District: 05

☐ Officer or Employee

Employing Office: _____

File an original and 2 copies.

File an original and 1 copy.

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

☒ Initial Report ☐ Amendment

Date of Report being Amended: _____

(For Official Use Only)

FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION									
JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A	B	C	D	E	F	G	H	I	J
							\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
JT	Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X								
	Bristol-Myers Squibb Co. - Stock		X		04/24/13	04/26/13	X									
	Praxair Inc., Stock		X		04/24/13	04/26/13	X									
	Cisco Systems, Inc. - Stock	X			04/24/13	04/26/13	X									
	NY St Urban Dev Corp Rev A1, Bond	X			04/04/13	04/26/13	X									
	Tate & Lyle PLC, Stock	X			04/01/13	04/26/13	X									

(This page will be publicly disclosed.)

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	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
JT SP DC	Provide full name, not ticker symbol.			(MM/DD/YY)	(MM/DD/YY)	\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
Kinross Gold Corp, Stock		X		04/11/13	04/26/13	X										
Komatsu Ltd ADR, Stock	X			04/11/13	04/26/13	X										
Novartis, Stock	X			04/11/13	04/26/13	X										
Yanzhou Coal Mng Co Ltd, Stock		X		04/15/13	04/26/13	X										

FOOTNOTE
NUMBER

FILER NOTES (optional)

(This page will be publicly disclosed.)