

UNITED STATES HOUSE OF REPRESENTATIVES

Periodic Transaction Report

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER

NAME:

James Austin Scott

OFFICE TELEPHONE:

202-225-6531

2013 MAR 20 AM 10:34

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

☒ Member of the U.S. House of Representatives

State: CA District: 8

File an original and 2 copies.

☐ Officer or Employee
Employing Office: _____

File an original and 1 copy.

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

Please indicate whether this is an initial report or an amended report. For amendments, please provide the date of the report you are amending.

Did you purchase any shares that were allocated as a part of an Initial Public Offering? ☐ YES ☒ NO

☒ Initial Report ☐ Amendment
Date of Report being Amended: _____

(For Official Use Only)

FULL ASSET NAME		TYPE OF TRANS-ACTION			DATE OF TRANS-ACTION	DATE NOTIFIED OF TRANS-ACTION	AMOUNT OF TRANSACTION									
JT SP DC	Provide full name, not ticker symbol.	PURCHASE	SALE	EXCHANGE	(MM/DD/YY)	(MM/DD/YY)	A \$1,000-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000
JT	Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X								
SP	Laudus Growth Investors U.S. LAECIA		X		02/01/13	02/05/13	X									
SP	MFS International Value Fund		X		02/01/13	02/05/13		X								
SP	MFS Value Fund		X		02/01/13	02/05/13		X								
SP	Prime Total Return		X		02/01/13	02/05/13		X								
SP	T. Rowe Price Growth Stock		X		02/01/13	02/05/13	X									

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JT	Example: Mega Corp. Common Stock		X		8/14/12	8/14/12		X								
SP	Principal Mickey Value		X		02/01/13	02/05/13	X									
SP	Principal Small Cap S+P 600		X		02/01/13	02/05/13	X									
SP	Principal Real Estate		X		02/01/13	02/05/13	X									
SP	Principal Mid Cap S+P 400		X		02/01/13	02/05/13	X									
SP	Principal Large Cap S+P 500		X		02/01/13	02/05/13		X								

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		PURCHASE	SALE	EXCHANGE			A \$1,000- \$5,000	B \$5,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	
JT	Example: Mega Corp. Common Stock		X		8/14/12	8/14/12			X								
SP	Principal International Emerg ^{nts}		X		02/01/13	02/05/13	X										
SP	Principal Diversified International		X		02/01/13	02/05/13		X									
SP	Vanguard Short-Term Inv Grade		X		02/01/13	02/05/13		X									
SP	Vanguard Short-Term Inv Grade				02/05/13	02/05/13				X							
SP	Fidelity Stock Selector MidCap		X		02/05/13	02/05/13	X										

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	PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	
SP DC	Provide full name, not ticker symbol.					\$1,000-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	
JT	Example: Mega Corp. Common Stock	X		8/14/12	8/14/12		X									
SO	BLUE POWER	X		03/01/13	03/01/13		X									
SO	LAEMIN	X		03/01/13	03/01/13		X									

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