



Filing ID #10075379

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Nina Schwalbe
Status: Congressional Candidate
State/District: NY12

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2026
Filing Date: 05/22/2026
Period Covered: 01/01/2025– 04/24/2026

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Lincoln Financial Whole Life Insurance [WU]		\$50,001 - \$100,000	None		
Nest Capital Fund [HE]		\$250,001 - \$500,000	Capital distribution	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: EIF					
Residential Rental Property [RP]		\$1,000,001 - \$5,000,000	Rent	None	\$5,001 - \$15,000
LOCATION: New York, NY, US					
Spark Street Consulting LLC [OL]		\$15,001 - \$50,000	None		
LOCATION: New York, NY, US					
DESCRIPTION: Gross income reported in Schedule C.					
Global Alliance for TB Drug Development 401k ⇒ Allspring Special Mid Cap Value Fund - R6 (WFPRX) [MF]		\$50,001 - \$100,000	None		
Global Alliance for TB Drug Development 401k ⇒ Fidelity Mid Cap Index Fund (FSMDX) [MF]		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Global Alliance for TB Drug Development 401k ⇒ Fidelity Small Cap Index Fund (FSSNX) [MF]		\$15,001 - \$50,000	None		
Global Alliance for TB Drug Development 401k ⇒ JPMorgan Equity Income Fund R6 (OIEJX) [MF]		\$50,001 - \$100,000	None		
Global Alliance for TB Drug Development 401k ⇒ MFS Growth Fund Class R6 (MFEKX) [MF]		\$50,001 - \$100,000	None		
Global Alliance for TB Drug Development 401k ⇒ Vanguard Money Market Reserves Federal Money Market Fund (VMFXX) [MF]		\$1,001 - \$15,000	None		
Global Alliance for TB Drug Development 401k ⇒ Victory Trivalent International Small-Cap CL R6 (MSSIX) [MF]		\$15,001 - \$50,000	None		
Merrill CMA 1 ⇒ iShares Core S&P U.S. Growth ETF (IUSG) [EF]	DC	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Merrill CMA 1 ⇒ iShares Core S&P U.S. Value ETF (IUSV) [EF]	DC	\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Merrill CMA 2 ⇒ Amana Growth Fund Institutional Class (AMIGX) [MF]	DC	\$100,001 - \$250,000	Dividends	None	\$201 - \$1,000
Merrill CMA 2 ⇒ Amgen Inc. - Common Stock (AMGN) [ST]	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Merrill CMA 2 ⇒ Global X Robotics & Artificial Intelligence ETF (BOTZ) [EF]	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Merrill CMA 2 ⇒ Global X Social Media ETF (SOCL) [EF]	DC	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill CMA 2 ⇒ International Business Machines Corporation Common Stock (IBM) [ST]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 2 ⇒ IonQ, Inc. Common Stock (IONQ) [ST]	DC	\$1,001 - \$15,000	Dividends	None	None
Merrill CMA 2 ⇒ Johnson & Johnson Common Stock (JNJ) [ST]	DC	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill CMA 2 ⇒ Union Pacific Corporation Common Stock (UNP) [ST]	DC	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Abbott Laboratories Common Stock (ABT) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill CMA 3 ⇒ AbbVie Inc. Common Stock (ABBV) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill CMA 3 ⇒ AGNC Investment Corp [RE]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Merrill CMA 3 ⇒ Alerian MLP ETF (AMLP) [EF]		\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Alphabet Inc. - Class A Common Stock (GOOGL) [ST]		\$100,001 - \$250,000	Dividends	\$1 - \$200	\$201 - \$1,000
Merrill CMA 3 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill CMA 3 ⇒ Amgen Inc. - Common Stock (AMGN) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Amgen Inc. - Common Stock (AMGN) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Ares Capital Corporation - Closed End Fund (ARCC) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Merrill CMA 3 ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Merrill CMA 3 ⇒ BlackRock Liquidity Funds Treasury Trust - Institutional (TTTXX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$15,001 - \$50,000
Merrill CMA 3 ⇒ Blackstone Secured Lending [OT]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$15,001 - \$50,000
DESCRIPTION: BDC - EIF					
Merrill CMA 3 ⇒		\$50,001 -	Dividends	\$201 -	\$5,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Eaton Vance Floating-Rate Income Trust (XEFTX) [MF]		\$100,000		\$1,000	\$15,000
Merrill CMA 3 ⇒ Enterprise Products Partners L.P. Common Stock (EPD) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Merrill CMA 3 ⇒ Fortinet, Inc. - Common Stock (FTNT) [ST]		\$100,001 - \$250,000	Dividends	None	None
Merrill CMA 3 ⇒ Intel Corporation - Common Stock (INTC) [ST]		\$50,001 - \$100,000	Dividends	None	None
Merrill CMA 3 ⇒ International Business Machines Corporation Common Stock (IBM) [ST]		\$100,001 - \$250,000	Dividends	None	\$5,001 - \$15,000
Merrill CMA 3 ⇒ INVESCO MORTGAGE CAPITAL INC Common Stock (IVR) [ST]		\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Invesco Trust for Investment (VTN) [OT]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
DESCRIPTION: ETF					
Merrill CMA 3 ⇒ IonQ, Inc. Common Stock (IONQ) [ST]		\$1,001 - \$15,000	Dividends	None	None
Merrill CMA 3 ⇒ Kyndryl Holdings, Inc. Common Stock (KD) [ST]		\$1,001 - \$15,000	Dividends	None	None
Merrill CMA 3 ⇒ NextEra Energy, Inc. Common Stock (NEE) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Nuveen New York Quality Municipal Income Fund (XNANX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1 - \$200
Merrill CMA 3 ⇒ PennyMac Mortgage Investment Trust Common Shares of Beneficial Interest (PMT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 3 ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill CMA 3 ⇒ SIGA Technologies Inc. - Common Stock (SIGA) [ST]		\$1,001 - \$15,000	Dividends	None	\$1,001 - \$2,500
Merrill CMA 3 ⇒ Union Pacific Corporation Common Stock (UNP) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	None
Merrill CMA 3 ⇒ Vanguard International High Dividend Yield ETF (VYMI) [EF]		\$100,001 - \$250,000	Dividends	None	\$5,001 - \$15,000
Merrill CMA 3 ⇒ Vanguard Large-Cap ETF (VV) [EF]		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Merrill CMA 3 ⇒ Vanguard Mega Cap Value ETF (MGV) [EF]		None	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Merrill CMA 4 ⇒ Amana Growth Fund Institutional Class (AMIGX) [MF]	JT	\$100,001 - \$250,000	Dividends	None	\$201 - \$1,000
Merrill CMA 4 ⇒ CrowdStrike Holdings, Inc. - Class A Common Stock (CRWD) [ST]	JT	\$15,001 - \$50,000	Dividends	None	None
Merrill CMA 4 ⇒ Global X Artificial Intelligence & Technology ETF (AIQ) [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill CMA 4 ⇒ Global X Social Media ETF (SOCL) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 4 ⇒ International Business Machines Corporation Common Stock (IBM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 4 ⇒ IonQ, Inc. Common Stock (IONQ) [ST]	JT	\$1,001 - \$15,000	Dividends	None	None
Merrill CMA 4 ⇒ Johnson & Johnson Common Stock (JNJ) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Merrill CMA 4 ⇒ Uber Technologies, Inc. Common Stock (UBER) [ST]	JT	\$1,001 - \$15,000	Dividends	None	None
Merrill CMA 4 ⇒ Union Pacific Corporation Common Stock (UNP) [ST]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$15,001 - \$50,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill CMA 5 ⇒ Cash [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Merrill CMA 5 ⇒ Goldman Sachs Exchange Place Fund [OT] DESCRIPTION: Exchange Fund		\$1,000,001 - \$5,000,000	None		
Merrill CMA 5 ⇒ SL Alpine Access Fund LP [HE] DESCRIPTION: EIF		\$100,001 - \$250,000	Capital distribution	\$1,001 - \$2,500	\$2,501 - \$5,000
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Alphabet Inc. - Class A Common Stock (GOOGL) [ST]		\$1,001 - \$15,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Canadian National Railway Company Common Stock (CNI) [ST]		\$1,001 - \$15,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Canadian Pacific Kansas City Limited Common Shares (CP) [ST]		\$15,001 - \$50,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ FERROVIAL SE (FERVF) [ST]		\$1,001 - \$15,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ GE Aerospace Common Stock (GE) [ST]		\$100,001 - \$250,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]		\$1,001 - \$15,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Moody's Corporation Common Stock (MCO) [ST]		\$50,001 - \$100,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ S&P Global Inc. Common Stock (SPGI) [ST]		\$50,001 - \$100,000	None		
Merrill CMA 5 ⇒ The Childrens Investment Fund LP ⇒ Visa Inc. (V) [ST]		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill IRRA ⇒ Cohen & Steers Tax-Advantaged Preferred Securities and Income Fund Common Shares of Beneficial Inter (PTA) [ST]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ FullerThaler Behavioral Small-Cap Equity Fund Institutional Shares (FTHSX) [MF]		\$1,001 - \$15,000	None		
Merrill IRRA ⇒ Guggenheim Total Return Bond Fund- Institutional Class (GIBIX) [MF]		\$50,001 - \$100,000	None		
Merrill IRRA ⇒ John Hancock Fds III Disciplined Value Mid Cap Fd Class I (JVMIX) [MF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ JPMorgan Equity Income Fund I Class (HLIEX) [MF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ JPMorgan Equity Premium Income ETF (JEPI) [EF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ JPMorgan Large Cap Growth Fund I Class (SEEGX) [MF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ JPMorgan Nasdaq Equity Premium Income ETF (JEPQ) [EF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ Loomis Sayles Funds - Growth Fund Class Y (LSGRX) [MF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ Neuberger Berman Large Cap Value Fd Inst Cl (NBPIX) [MF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ NYLI Fiera SMID Growth Fund Class I (APSGX) [MF]		\$15,001 - \$50,000	None		
Merrill IRRA ⇒ State Street Blackstone Senior Loan ETF (SRLN) [EF]		\$1,001 - \$15,000	None		
Merrill SEP IRA ⇒ BlackRock Liquidity Funds FedFund - Premier (BUPXX)		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
[MF]					
Merrill SEP IRA ⇒ Cash [BA]		\$1,001 - \$15,000	None		
Merrill SEP IRA ⇒ iShares Core S&P U.S. Growth ETF (IUSG) [EF]		\$50,001 - \$100,000	None		
Merrill SEP IRA ⇒ iShares Core S&P U.S. Value ETF (IUSV) [EF]		\$50,001 - \$100,000	None		
Merrill SEP IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$15,001 - \$50,000	None		
Merrill SEP IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		\$15,001 - \$50,000	None		
Merrill SEP IRA ⇒ Vanguard Russell 2000 ETF (VTWO) [EF]		\$1,001 - \$15,000	None		
Spark Street Consulting LLC Cash Balance Plan ⇒ BlackRock Liquidity Fund [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ BLF Treasury Trust [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1,001 - \$2,500
Spark Street Consulting LLC Cash Balance Plan ⇒ Invesco Preferred ETF (PGX) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		\$100,001 - \$250,000	Dividends	None	\$2,501 - \$5,000
Spark Street Consulting LLC Cash Balance Plan ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
Spark Street Consulting LLC Cash Balance Plan ⇒ iShares MBS ETF (MBB) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ iShares TIPS Bond ETF (TIP) [EF]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Spark Street Consulting LLC Cash Balance Plan ⇒ Schwab Short-Term U.S. Treasury ETF (SCHO) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ VanEck Fallen Angel High Yield Bond ETF (ANGL) [EF]		\$15,001 - \$50,000	Dividends	None	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Growth ETF (VUG) [EF]		\$250,001 - \$500,000	Dividends	None	\$201 - \$1,000
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Growth ETF (VUG) [EF]		\$250,001 - \$500,000	Dividends	None	\$201 - \$1,000
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Intermediate-Term Treasury ETF (VGIT) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		\$50,001 - \$100,000	Dividends	None	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Small-Cap Value ETF (VBR) [EF]		\$50,001 - \$100,000	Dividends	None	\$201 - \$1,000
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Total International Bond ETF (BNDX) [EF]		\$15,001 - \$50,000	Dividends	None	\$1 - \$200
Spark Street Consulting LLC Cash Balance Plan ⇒ Vanguard Value ETF (VTV) [EF]		\$250,001 - \$500,000	Dividends	None	\$1,001 - \$2,500
Spark Street Consulting LLC Cash Balance Plan ⇒ Xtrackers USD High Yield Corporate Bond ETF (HYLB) [EF]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Spark Street Consulting LLC	Gross Business Income	\$60,591.08	\$885,992.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Merrill Lynch	May 2026	Revolving Line of Credit	\$1,000,001 - \$5,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Chair	55 White Street Condominium Association

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2007	Myself and Global Alliance for TB Drug Development	Continued participation in Global Alliance for TB Drug Development 401(k) Plan

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Vital Strategies, Inc. (New York, NY, US)	Data analysis and consulting
Manhattan West LLC (New York, NY, US)	Data analysis and consulting
Global Health Strategies (New York , NY, US)	Data analysis and consulting
Chemonics International Inc. (Washington, DC, US)	Data analysis and consulting
Gates Foundation (Seattle, WA, US)	Data analysis and consulting

SCHEDULE A INVESTMENT VEHICLE DETAILS

- o Merrill SEP IRA - o Merrill CMA 1 (Owner: DC)
--

- Merrill CMA 2 (Owner: DC)
- Merrill CMA 3
- Merrill CMA 4 (Owner: JT)
- Merrill IRRA
- Global Alliance for TB Drug Development 401k
- Spark Street Consulting LLC Cash Balance Plan
- Merrill CMA 5
- Merrill CMA 5 ⇒ The Childrens Investment Fund LP

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Nina Schwalbe , 05/22/2026