



Filing ID #10074943

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Derek Tran
Status: Member
State/District: CA45

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2025
Filing Date: 08/13/2026

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America bank account [BA]	SP	\$50,001 - \$100,000	None		☐
Chase bank account [BA]		\$15,001 - \$50,000	None		☐
Nguyen Win, Inc. [OL]	SP	\$1,000,001 - \$5,000,000	Partnership income	\$100,001 - \$1,000,000	☐
LOCATION: Anaheim, CA, US DESCRIPTION: Operates True Care Pharmacy; estimate, K1 not yet available.					
Pacific Life (fixed annuity) [FN]		\$50,001 - \$100,000	Tax-Deferred		☐
Residential real property [RP]		None	None		☐
LOCATION: Stanton, CA, US COMMENTS: Residential property previously co-owned with filer's sister-in-law, who resides in the unit without paying rent. Sister-in-law assumed full ownership in 2025.					
The Tran Firm, Inc. [OL]		\$15,001 - \$50,000	Capital Gains	\$100,001 - \$1,000,000	☐
LOCATION: Huntington Beach, CA, US DESCRIPTION: Law firm COMMENTS: Firm sold most of its assets Dec. 2023, with payments to be made monthly over 48 months. Income received in 2025 is estimated					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
capital gain from the sale. Value represents cash in firm bank account.					
USAA bank account [BA]		\$1,001 - \$15,000	Interest	None	☐
Binance cryptocurrency wallet ⇒ Bitcoin [CT]		\$15,001 - \$50,000	None		☐
Binance cryptocurrency wallet ⇒ Ethereum [CT]		\$1,001 - \$15,000	None		☐
Binance cryptocurrency wallet ⇒ Shiba Inu [CT]		\$1,001 - \$15,000	None		☐
Coinbase cryptocurrency wallet ⇒ Bitcoin [CT]		\$1,001 - \$15,000	None		☐
Coinbase cryptocurrency wallet ⇒ Ethereum [CT]		\$15,001 - \$50,000	None		☐
E*Trade Brokerage 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	None		☐
E*Trade Brokerage 1 ⇒ American Express Company (AXP) [ST]		\$1,001 - \$15,000	None		☐
E*Trade Brokerage 1 ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		☐
E*Trade Brokerage 1 ⇒ Heico Corporation (HEI) [ST]		\$1,001 - \$15,000	None		☐
E*Trade Brokerage 1 ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]		\$1,001 - \$15,000	None		☐
E*Trade Brokerage 1 ⇒ Realty Income Corporation Common Stock (O) [ST]		\$1,001 - \$15,000	None		☐
E*Trade Brokerage 1 ⇒ Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	None		☐
E*Trade Brokerage 1 ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
E*Trade Brokerage 2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	None		☐
E*Trade Brokerage 2 ⇒ CVS Health Corporation (CVS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	☐
E*Trade Brokerage 2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	None		☐
LPL Financial Rollover IRA ⇒ Harbor ETF Trust Harbor Long-Term Growers ETF (WINN) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		☐
LPL Financial Rollover IRA ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		☐
LPL Financial Rollover IRA ⇒ Pacer US Small Cap Cash Cows 100 ETF (CALF) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		☐
LPL Financial Rollover IRA ⇒ Schaumburg Bank & Trust Company [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		☐
LPL Financial Rollover IRA ⇒ Vanguard Growth ETF (VUG) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		☐
LPL Financial Rollover IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		☐
Nguyen Win, Inc. 401(k) - John Hancock ⇒ BlackRock LifePath Index 2045 Port Insti Cl (LIHIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		☒
Nguyen Win, Inc. 401(k) - John Hancock ⇒ JHF III U.S. Growth Fund Class R6 (JSGTX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		☒
Nguyen Win, Inc. 401(k) - John Hancock ⇒ John Hancock Variable Insurance Trust 500 Index Trust Series 1 (JFIVX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		☒
Nguyen Win, Inc. 401(k) - John Hancock ⇒ Vanguard International Growth Fund (VWIGX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		☒
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ DFA US Large Company Portfolio (DFUSX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		☒

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Fidelity International Index Fund (FSPSX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		☒
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Hartford Schrodgers US MidCap Opportunities Fund Class SDR (SMDRX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		☒
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ John Hancock Income Fund Class R6 (JSNWX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		☒
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Nuveen Small Cap Blend Index Fund R6 (TISBX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		☒
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ The Bond Fund of America, Class R-6 Shares (RBFGX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		☒
The Tran Firm, Inc. 401(k) (Principal) ⇒ American Fds 2040 Target Date Retirement Fd Cl R4 (RDGTX) [MF]		\$1,001 - \$15,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Fds, Inc. LargeCap S&P 500 Idx Fd Instl Cl (PLFIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Fds, Inc. SmallCap S&P 600 Index Fd Insti Cl (PSSIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. - Diversified International Fund Class R-6 (PDIFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. - Equity Income, Class J (PEIJX) [MF]		\$15,001 - \$50,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. -SmallCap Growth Fund I (PCSMX) [MF]		\$15,001 - \$50,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. -SmallCap Value Fund II (PSMVX) [MF]		\$15,001 - \$50,000	Tax-Deferred		☐
The Tran Firm, Inc. 401(k) (Principal) ⇒		\$15,001 - \$50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Principal Funds, Inc.- LargeCap Growth Fund I (PLCGX) [MF]					
Venmo ⇒ Bitcoin [CT]		\$1,001 - \$15,000	None		☐
Victory Capital 529 Education Savings Plan - DC1 ⇒ Victory Capital 529 Age-Based Option 9-11: Moderate Portfolio [5F] LOCATION: NV	DC	\$15,001 - \$50,000	Tax-Deferred		☐
Victory Capital 529 Education Savings Plan - DC2 ⇒ Victory Capital 529 Moderate Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		☐
Victory Capital 529 Education Savings Plan - DC2 ⇒ Victory Capital 529 Very Aggressive Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		☐
Victory Capital 529 Education Savings Plan - DC3 ⇒ Victory Capital 529 Age-Based Option 3-4: Very Aggressive Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		☐

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Nguyen Win, Inc. 401(k) - John Hancock ⇒ Vanguard International Growth Fund (VWIGX) [MF]	SP	12/22/2025	P	\$1,001 - \$15,000	
Nguyen Win, Inc. 401(k) - John Hancock ⇒ BlackRock LifePath Index 2045 Port Insti Cl (LIHIX) [MF]	SP	12/22/2025	P	\$1,001 - \$15,000	
Coinbase cryptocurrency wallet ⇒ Litecoin (LTC) [CT] DESCRIPTION: Value <\$1,000 as of 12/31/24. COMMENTS: Filer believes that the value of the coin was <\$1,000 when filer initiated trade; when trade settled, value had fluctuated to just over \$1,000. Filer did not realize that sale was >\$1,000 until preparing 2025 FD.		07/28/2025	S	\$1,001 - \$15,000	☐
Nguyen Win, Inc. 401(k) - John Hancock ⇒ JHF III U.S. Growth Fund Class R6 (JSGTX) [MF]	SP	12/22/2025	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Nguyen Win, Inc. 401(k) - John Hancock ⇒ John Hancock Variable Insurance Trust 500 Index Trust Series 1 (JFIVX) [MF]	SP	12/22/2025	P	\$1,001 - \$15,000	
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Hartford Schroders US MidCap Opportunities Fund Class SDR (SMDRX) [MF]	SP	07/16/2025	P	\$1,001 - \$15,000	
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Nuveen Small Cap Blend Index Fund R6 (TISBX) [MF]	SP	07/16/2025	P	\$1,001 - \$15,000	
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ DFA US Large Company Portfolio (DFUSX) [MF]	SP	07/16/2025	P	\$50,001 - \$100,000	
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Fidelity International Index Fund (FSPSX) [MF]	SP	07/16/2025	P	\$1,001 - \$15,000	
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ The Bond Fund of America, Class R-6 Shares (RFBGX) [MF]	SP	07/16/2025	P	\$15,001 - \$50,000	
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ John Hancock Income Fund Class R6 (JSNWX) [MF]	SP	07/16/2025	P	\$1,001 - \$15,000	

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Nguyen Win, Inc.	Spouse salary	N/A
The Tran Firm Inc.	Business income	\$77,648.00
COMMENTS: All income received was for services performed before Jan. 2025.		

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Chase	April 2021	Mortgage on Stanton, CA residential property	\$500,001 - \$1,000,000
COMMENTS: Mortgage assumed by filer's sister-in-law in 2025, in connection with her taking ownership of the property.				

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Rocket Mortgage	September 2025	Mortgage on personal residence	\$250,001 - \$500,000
JT	Lakeview	February 2022	Mortgage on personal residence	\$1,000,001 - \$5,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chief Executive Officer/Director	The Tran Firm, Inc.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2023	Self and Feher Law	Sale of assets of The Tran Firm, Inc. to Feher Law.
October 2023	Self and Bossier & Associates	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
March 2023	Self and Carrazco Law	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
November 2023	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
July 2024	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
2024	Self and the Tran Firm, Inc.	Continued participation in 401(k) plan.
October 2023	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Embassy of India, Ministry of External Affairs (MECEA)	09/19/2025	09/27/2025	Washington, DC - New Delhi, India - Agra, India - Mumbai, India - Washington, DC	0	☒	☒	☐

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- Victory Capital 529 Education Savings Plan - DC2 (Owner: DC)
LOCATION: NV
- Victory Capital 529 Education Savings Plan - DC3 (Owner: DC)
LOCATION: NV
- Victory Capital 529 Education Savings Plan - DC1 (Owner: DC)
LOCATION: NV
- Coinbase cryptocurrency wallet
LOCATION: US
- E*Trade Brokerage 2 (Owner: SP)
- Nguyen Win, Inc. 401(k) - John Hancock (Owner: SP)
- LPL Financial Rollover IRA (Owner: SP)
- The Tran Firm, Inc. 401(k) (Principal)
- Binance cryptocurrency wallet
LOCATION: US
- Nguyen Win, Inc. Cash Balance Plan - John Hancock (Owner: SP)
- E*Trade Brokerage 1
LOCATION: US
- Venmo
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Derek Tran , 08/13/2026