



Filing ID #10081626

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Derek Tran
Status: Member
State/District: CA45

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2024
Filing Date: 08/13/2026

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America bank account [BA]	SP	\$15,001 - \$50,000	None		
Chase bank account [BA]		\$15,001 - \$50,000	None		
Nguyen Win, Inc. [OL] LOCATION: Anaheim, CA, US DESCRIPTION: Operates True Care Pharmacy.	JT	\$1,000,001 - \$5,000,000	Partnership income	Not Applicable	\$100,001 - \$1,000,000
Pacific Life (fixed annuity) [FN]		\$50,001 - \$100,000	Tax-Deferred		
Residential real property [RP] LOCATION: Stanton, CA, US		\$500,001 - \$1,000,000	None		
The Tran Firm, Inc. [OL] LOCATION: Huntington Beach, CA, US DESCRIPTION: Law firm		\$250,001 - \$500,000	Capital Gains	Not Applicable	\$100,001 - \$1,000,000
USAA bank account and certificate of deposit [BA]		\$15,001 - \$50,000	Interest	Not Applicable	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Binance cryptocurrency wallet ⇒ Bitcoin [CT]		\$15,001 - \$50,000	None		
Binance cryptocurrency wallet ⇒ Ethereum [CT]		\$1,001 - \$15,000	None		
Binance cryptocurrency wallet ⇒ Shiba Inu [CT]		\$1,001 - \$15,000	None		
Coinbase cryptocurrency wallet ⇒ Bitcoin [CT]		\$1,001 - \$15,000	None		
Coinbase cryptocurrency wallet ⇒ Ethereum [CT]		\$15,001 - \$50,000	None		
E*Trade Brokerage 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ American Express Company (AXP) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Heico Corporation (HEI) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ NVIDIA Corporation - Common Stock (NVDA) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 1 ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	None		
E*Trade Brokerage 2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	None		
E*Trade Brokerage 2 ⇒ CVS Health Corporation (CVS) [ST]	SP	\$1,001 - \$15,000	Dividends	Not Applicable	\$201 - \$1,000
E*Trade Brokerage 2 ⇒	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Starbucks Corporation (SBUX) [ST]					
LPL Financial Rollover IRA ⇒ Harbor ETF Trust Harbor Long-Term Growers ETF (WINN) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Pacer US Small Cap Cash Cows 100 ETF (CALF) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Town Bank, N.A. [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Vanguard Growth ETF (VUG) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
LPL Financial Rollover IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Nguyen Win, Inc. 401(k) - John Hancock ⇒ BlackRock LifePath Index 2045 Port Insti Cl (LIHIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nguyen Win, Inc. 401(k) - John Hancock ⇒ JHF III U.S. Growth Fund Class R6 (JSGTX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nguyen Win, Inc. 401(k) - John Hancock ⇒ John Hancock Variable Insurance Trust 500 Index Trust Series 1 (JFIVX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nguyen Win, Inc. 401(k) - John Hancock ⇒ Vanguard International Growth Fund (VWIGX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ DFA US Large Company Portfolio (DFUSX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Fidelity International Index Fund (FSPSX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Hartford Schroders US MidCap Opportunities Fund Class SDR (SMDRX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ John Hancock Income Fund Class R6 (JSNWX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ Nuveen Small Cap Blend Index Fund R6 (TISBX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Nguyen Win, Inc. Cash Balance Plan - John Hancock ⇒ The Bond Fund of America, Class R-6 Shares (RFBGX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ American Fds 2040 Target Date Retirement Fd Cl R4 (RDGTX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Fds, Inc. LargeCap S&P 500 Idx Fd Instl Cl (PLFIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Fds, Inc. SmallCap S&P 600 Index Fd Insti Cl (PSSIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. - Diversified International Fund Class R-6 (PDIFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. - Equity Income, Class J (PEIJX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. -SmallCap Growth Fund I (PCSMX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc. -SmallCap Value Fund II (PSMVX) [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The Tran Firm, Inc. 401(k) (Principal) ⇒ Principal Funds, Inc.- LargeCap Growth Fund I (PLCGX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Venmo ⇒ Bitcoin [CT]		\$1,001 - \$15,000	None		
Victory Capital 529 Education Savings Plan - DC1 ⇒ Victory Capital 529 Age-Based Option 9-11: Moderate Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		
Victory Capital 529 Education Savings Plan - DC2 ⇒ Victory Capital 529 Moderate Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		
Victory Capital 529 Education Savings Plan - DC2 ⇒ Victory Capital 529 Very Aggressive Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		
Victory Capital 529 Education Savings Plan - DC3 ⇒ Victory Capital 529 Age-Based Option 3-4: Very Aggressive Portfolio [5F] LOCATION: NV	DC	\$1,001 - \$15,000	Tax-Deferred		

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Nguyen Win, Inc.	Spouse salary	N/A	N/A
The Tran Firm Inc.	Salary	N/A	\$35,395.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Chase	April 2021	Mortgage on Stanton, CA residential property	\$500,001 - \$1,000,000
JT	Lakeview	February 2022	Mortgage on personal residence	\$1,000,001 -

\$5,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chief Executive Officer/Director	The Tran Firm, Inc.
Of Counsel COMMENTS: Resigned in 2024.	Feher Law
Managing Director COMMENTS: Resigned in 2024.	Inland Injury Law Center
Member, Board of Governors COMMENTS: Resigned in 2024.	Consumer Attorneys Association of Los Angeles
Secretary	Nguyen Win, Inc.
Director COMMENTS: Resigned in 2024.	Consumer Attorneys of California

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2023	Self and Feher Law	Sale of assets of The Tran Firm, Inc. to Feher Law.
October 2023	Self and Bossier & Associates	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
March 2023	Self and Carrazco Law	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
November 2023	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
April 2023	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
July 2024	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.
2024	Self and the Tran Firm, Inc.	Continued participation in 401(k) plan.
October 2023	Self and Blumenthal Nordrehaug Bhowmik De Blouw LLP	Agreement to receive referral fee for referred lawsuit, in the event that lawsuit is successful.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Ignacio Moreno (Los Angeles, CA, US)	Legal services
Lynna Chunn (Anaheim, CA, US)	Legal services

SCHEDULE A INVESTMENT VEHICLE DETAILS

- Victory Capital 529 Education Savings Plan - DC1 (Owner: DC)
LOCATION: NV
- E*Trade Brokerage 2 (Owner: SP)
- Nguyen Win, Inc. 401(k) - John Hancock (Owner: SP)
- Coinbase cryptocurrency wallet
LOCATION: US
- Binance cryptocurrency wallet
LOCATION: US
- Nguyen Win, Inc. Cash Balance Plan - John Hancock (Owner: SP)
- E*Trade Brokerage 1
LOCATION: US
- Venmo
LOCATION: US
- Victory Capital 529 Education Savings Plan - DC3 (Owner: DC)
LOCATION: NV
- Victory Capital 529 Education Savings Plan - DC2 (Owner: DC)
LOCATION: NV
- LPL Financial Rollover IRA (Owner: SP)
- The Tran Firm, Inc. 401(k) (Principal)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Derek Tran , 08/13/2026