



Filing ID #10068240

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. David Rouzer
Status: Member
State/District: NC07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2024
Filing Date: 08/01/2025

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|----------------|--------|-------------------------------------|
| Avantax Investment Services ⇒ Fidelity Government Money Market Fund (-) [BA] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Bank Deposit Sweep Program [BA] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Catalyst/Millburn Hedge Strategy Fund Class I (MBXIX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ DODGE & COX STOCK [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Eaton Vance Short Duration Strategic Income Fund [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Eaton Vance Tax-Managed Global Diversified Equity Income Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ FIRST EAGLE GLOBAL CLASS I [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > | \$1,000? |
|---|-------|---------------------|----------------|--------------|--------------------------|
| First Tr Morningstar Dividend Leaders Index Fund [MF] | | | | | |
| Charles Schwab & Company Inc. ⇒ First Trust Health Care AlphaDEX (FXH) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ First Trust Low Duration Opportunities ETF (LMBS) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ First Trust Value Line Dividend Index Fund [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Grant Park Multi Alternative Strategies Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ ISHARES CORE HIGH DIVIDEND [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ ISHARES RUSSELL 1000 INDEX FUND [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ ISHARES TRUST CORE DIVID GWTH [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ iShares U.S. Medical Devices ETF (IHI) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ MFS INTERNATIONAL DIVERSIFICATION CLASS I [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Pacer US Small Cap Cash Cows 100 ETF (CALF) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ PIMCO INC CL 12 [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ PIMCO TOTAL RETURN FUND CL 12 [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ SPDR Gold Trust (GLD) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|-----------------------|----------------|--|
| Charles Schwab & Company Inc. ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ SPDR Select Sector Fund - Financial (XLF) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ SPDR Series Trust SPDR S&P Oil & Gas Equipment & Services ETF (XES) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ T Rowe Price Blue Chip Growth Inc. [MF] | | \$100,001 - \$250,000 | Tax-Deferred | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ T. Rowe Price Capital Appreciation Fund (PRWCX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ VANGUARD SMALL CAP STOCK INDEX ADMIRAL [MF] | | \$15,001 - \$50,000 | Tax-Deferred | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Virtus KAR Mid-Cap Core Fund I (VIMCX) [MF] | | \$50,001 - \$100,000 | Tax-Deferred | <input type="checkbox"/> |
| Goldman Sachs Bank ⇒ Marcus Savings Account [BA] | | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ Advanced Micro Devices, Inc. (AMD) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ Berkshire Hathaway Inc. New Common Stock (BRK.B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ Boeing Company (BA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ CASH [IH] | | \$1,001 - \$15,000 | Tax-Deferred | <input checked="" type="checkbox"/> |
| Interactive Brokers LLC ⇒ iShares Preferred and Income Securities ETF (PFF) [EF] | | None | Tax-Deferred | <input checked="" type="checkbox"/> |
| Interactive Brokers LLC ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|--------|-------------------------------------|
| Mastercard Incorporated (MA) [ST] | | | | | |
| Interactive Brokers LLC ⇒ NVIDIA Corporation (NVDA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ RTX Corporation Common Stock (RTX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ SPDR Gold Trust (GLD) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ SPDR Series Trust Portfolio S&P 1500 Comp STK ETF [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Interactive Brokers LLC ⇒ US GLOBAL JETS ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ Verizon Communications Inc. (VZ) [ST] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ Visa Inc. (V) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| State of NC Defined Benefit Retirement Plan ⇒ Teachers & State Employees Retirement System and Legislative Retirement System Benefits [DB] | | Undetermined | Tax-Deferred | | <input type="checkbox"/> |

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|-------------------------------------|
| Interactive Brokers LLC ⇒ iShares Preferred and Income Securities ETF (PFF) [EF] | | 09/27/2024 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Interactive Brokers LLC ⇒ SPDR Portfolio S&P 1500 Composite Stock Market ETF (SPTM) [EF] | | 12/18/2024 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|--------------------------|
| Charles Schwab & Company Inc. ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | | 11/22/2024 | P | \$15,001 - \$50,000 | |
| Avantax Investment Services ⇒ Fidelity Government Money Market [BA] | | 07/30/2024 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Charles Schwab & Company Inc. ⇒ Catalyst/Millburn Hedge Strategy Fund Class I (MBXIX) [MF] | | 04/30/2025 | P | \$1,001 - \$15,000 | |

* Investment Vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|---------------------|---------------|---------------|-----------------------|
| | Roundpoint Mortgage | April 1, 2023 | Home mortgage | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|----------------------------|---|
| January 2013 | MYSELF AND THE STATE OF NC | RETIRED AND FORMER STATE LEGISLATORS HEALTH PLAN |
| January 2013 | MYSELF AND THE STATE OF NC | TEACHERS AND STATE EMPLOYEES RETIREMENT SYSTEM AND LEGISLATIVE RETIREMENT SYSTEM BENEFITS BEGINNING AT AGE 60 |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Source | Trip Details | | | | Inclusions | | |
|-------------------------|--------------|------------|--|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Main Street Partnership | 03/22/2024 | 03/28/2024 | Washington, DC - Florence, Italy - Rome, Italy - Raleigh, NC | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- Interactive Brokers LLC
- Avantax Investment Services
- State of NC Defined Benefit Retirement Plan
- Goldman Sachs Bank
LOCATION: US
- Charles Schwab & Company Inc.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David Rouzer , 08/01/2025