



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Caroleene Dobson  
**Status:** Congressional Candidate  
**State/District:** AL02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2024  
**Filing Date:** 08/13/2024  
**Period Covered:** 01/01/2023– 07/14/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset       | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------------|--------------------------|-------------------------------|-----------------------|
| Cadence Bank, NA [BA]   |       | \$15,001 - \$50,000  | Interest                 | \$201 - \$1,000               | \$1 - \$200           |
| CHOG, LLC [OL]<br>LOCATION: Beatrice, AL, US<br>DESCRIPTION: Individual Ownership (31.7%) |       | Undetermined         | Agriculture              | \$1 - \$200                   | \$1 - \$200           |
| CIBC Private Wealth ⇒<br>Apple Inc. (AAPL) [ST]   | SP    | \$50,001 - \$100,000 | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| CIBC Private Wealth ⇒<br>Apple Inc. (AAPL) [ST]   | DC    | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| CIBC Private Wealth ⇒<br>Canadian National Railway Company (CNI) [ST]                     | DC    | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |
| CIBC Private Wealth ⇒<br>Canadian National Railway Company Common Stock (CNI) [ST]        | SP    | \$15,001 - \$50,000  | Dividends, Interest      | \$201 - \$1,000               | \$201 - \$1,000       |
| CIBC Private Wealth ⇒<br>Chevron Corporation (CVX) [ST]                                   | DC    | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1 - \$200                   | \$1 - \$200           |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b>       | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|-----------------------------|--------------------------------------|------------------------------|
| CIBC Private Wealth ⇒<br>CIBC Atlas Disciplined Equity Fund (AWEIX) [MF]                 |              | \$15,001 - \$50,000   | Capital Gains,<br>Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>CIBC Atlas Disciplined Equity Fund (AWEIX) [MF]                 | SP           | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>CIBC Atlas International Growth Fund (AWWIX) [MF]               | SP           | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>CIBC Atlas Mid Cap Equity Fund (AWMIX) [MF]                     |              | \$15,001 - \$50,000   | Capital Gains,<br>Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>CIBC Atlas Opportunities Fund (AWIIX) [MF]                      |              | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>CIBC Cash Reserve [BA]  |              | \$1,001 - \$15,000    | Interest                    | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>CIBC Cash Reserve [MF]  | SP           | \$1,001 - \$15,000    | Interest                    | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>CIBC Cash Reserve AT [MF]                                       | DC           | \$1,001 - \$15,000    | Interest                    | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Cisco Systems, Inc. (CSCO) [ST]                                 | DC           | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Danaher Corporation (DHR) [ST]                                  | SP           | \$50,001 - \$100,000  | Capital Gains,<br>Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>King Ranch Investments, LP [PS]<br>DESCRIPTION: CUSIP LP7000021 | SP           | \$1 - \$1,000         | Capital Gains,<br>Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Linde plc - Ordinary Shares (LIN) [ST]                          | DC           | \$1,001 - \$15,000    | Capital Gains,<br>Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Lowe's Companies, Inc. (LOW) [ST]                               | DC           | \$15,001 - \$50,000   | Capital Gains,<br>Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>Lowe's Companies, Inc. (LOW) [ST]                               | SP           | \$15,001 - \$50,000   | Capital Gains,<br>Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b>    | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|--------------------------|--------------------------------------|------------------------------|
| CIBC Private Wealth ⇒<br>Microsoft Corporation (MSFT) [ST]                         | SP           | \$100,001 - \$250,000 | Capital Gains, Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>Microsoft Corporation (MSFT) [ST]                         | DC           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Polen Growth Fund [MF]                                    |              | \$1,001 - \$15,000    | Capital Gains, Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>S&P Global Inc (SPGI) [MF]                                | SP           | \$50,001 - \$100,000  | Capital Gains, Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth ⇒<br>Vanguard Extended Market ETF (VXF) [EF]                   | SP           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Vanguard Extended Market ETF (VXF) [MF]                   | DC           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Vanguard Small Cap ETF (VB) [EF]                          |              | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Visa Inc. (V) [ST]  | DC           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth ⇒<br>Walt Disney Company (DIS) [ST]                            | DC           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth - IRA ⇒<br>AMG TimesSquare Mid Cap Growth Fund (TMDIX) [MF]    | SP           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth - IRA ⇒<br>Fidelity Government Portfolio (FIGXX) [MF]          | SP           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth - IRA ⇒<br>Polen Growth Fund (POLIX) [MF]                      | SP           | \$15,001 - \$50,000   | Capital Gains, Dividends | \$201 - \$1,000                      | \$201 - \$1,000              |
| CIBC Private Wealth - IRA ⇒<br>Tweedy Browne International Value Fund (TBGVX) [MF] | SP           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |
| CIBC Private Wealth - IRA ⇒<br>Vanguard Small Cap ETF (VB) [MF]                    | SP           | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200                          | \$1 - \$200                  |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b>              | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|--|--------------|-----------------------|------------------------------------|--------------------------------------|------------------------------|
| Hardee Lands, LTD [OL]<br>LOCATION: Beatrice, AL, US<br>DESCRIPTION: Ownership through L Caroleene Hardee Family 2012 Trust (49.50%)                                   |              | Undetermined          | Agriculture                        | \$1 - \$200                          | \$1 - \$200                  |
| Henrietta K. Armstrong Trust DTD 12.30.60 FBO Jean M. Larkin [OT]<br>DESCRIPTION: Current Year Income Estimated - 2023 K-1 will not be available until September 2024. | SP           | Undetermined          | Dividends, Interest                | \$2,501 - \$5,000                    | \$2,501 - \$5,000            |
| John Hancock 401k ⇒ Vanguard Target Retirement 2050 Fund (VFIFX) [MF]  |              | \$50,001 - \$100,000  | Capital Gains, Dividends, Interest | \$1,001 - \$2,500                    | \$1,001 - \$2,500            |
| King Ranch, Inc. [PS]<br>DESCRIPTION: 100 Shares - purchased 3/17/2023   |              | \$100,001 - \$250,000 | Dividends                          | \$2,501 - \$5,000                    | None                         |
| Maynard Nexsen 401k ⇒ American Funds EuroPacific Gr R5 [MF]  |              | \$1,001 - \$15,000    | Capital Gains, Dividends           | \$201 - \$1,000                      | \$201 - \$1,000              |
| Maynard Nexsen 401k ⇒ Galliard Stable Return Fund C [MF]   |              | \$1,001 - \$15,000    | Capital Gains, Dividends           | \$1 - \$200                          | \$1 - \$200                  |
| Maynard Nexsen 401k ⇒ Harding Loevner International Eq Instl [MF]  |              | \$1,001 - \$15,000    | Capital Gains, Dividends           | \$1,001 - \$2,500                    | \$1,001 - \$2,500            |
| Maynard Nexsen 401k ⇒ JPMorgan Mid Cap Value R6 [MF]   |              | \$1,001 - \$15,000    | Capital Gains, Dividends           | \$201 - \$1,000                      | \$201 - \$1,000              |
| Maynard Nexsen 401k ⇒ Mainstay Winslow Large Cap Growth I [MF]   |              | \$15,001 - \$50,000   | Capital Gains, Dividends           | \$1,001 - \$2,500                    | \$1,001 - \$2,500            |
| Maynard Nexsen 401k ⇒ MassMutual Mid Cap Growth R5 [MF]  |              | \$1,001 - \$15,000    | Capital Gains, Dividends           | \$201 - \$1,000                      | \$201 - \$1,000              |
| Maynard Nexsen 401k ⇒ Metropolitan West Total Return Bond M [MF]   |              | \$15,001 - \$50,000   | Capital Gains, Dividends           | \$1,001 - \$2,500                    | \$1,001 - \$2,500            |
| Maynard Nexsen 401k ⇒ MFS Value R4 [MF]  |              | \$15,001 - \$50,000   | Capital Gains, Dividends           | \$1,001 - \$2,500                    | \$1,001 - \$2,500            |
| Maynard Nexsen 401k ⇒ T. Rowe Price New Horizon [MF]   |              | \$1,001 - \$15,000    | Capital Gains, Dividends           | \$1 - \$200                          | \$1 - \$200                  |

| Asset  | Owner | Value of Asset     | Income Type(s)              | Income Current Year to Filing | Income Preceding Year |
|--|-------|--------------------|-----------------------------|-------------------------------|-----------------------|
| Maynard Nexsen 401k ⇒<br>Victory Sycamore Small Co Opportunity I [MF]  |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Philip C. Hardee, LTD [OL]<br>LOCATION: Beatrice, AL, US<br>DESCRIPTION: Individual Ownership (17.75%) Ownership through L Caroleene Hardee Family 2012 Trust (31.55%) |       | Undetermined       | Agriculture                 | \$1 - \$200                   | \$1 - \$200           |
| Regions Bank [BA]  |       | \$1,001 - \$15,000 | Interest                    | \$1 - \$200                   | \$1 - \$200           |

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| Source                      | Type             | Amount Current Year to Filing | Amount Preceding Year |
|-----------------------------|------------------|-------------------------------|-----------------------|
| Maynard Nexsen PC           | Salary           | \$70,497.00                   | \$229,697.50          |
| CIBC National Trust Company | IRA Distribution | \$675.00                      | \$1,000.00            |

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

| Position     | Name of Organization              |
|--------------|-----------------------------------|
| Commissioner | Alabama Forestry Commission       |
| Director     | Southeastern Livestock Exposition |

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A INVESTMENT VEHICLE DETAILS

- CIBC Private Wealth  
LOCATION: US
- CIBC Private Wealth (Owner: DC)

LOCATION: US

- o CIBC Private Wealth (Owner: SP)

LOCATION: US

- o CIBC Private Wealth - IRA (Owner: SP)
- o John Hancock 401k
- o Maynard Nexsen 401k

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Caroleene Dobson , 08/13/2024